

## ELaboration of NANOmaterials for the recovery, conversion, transport and storage of energy

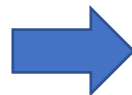
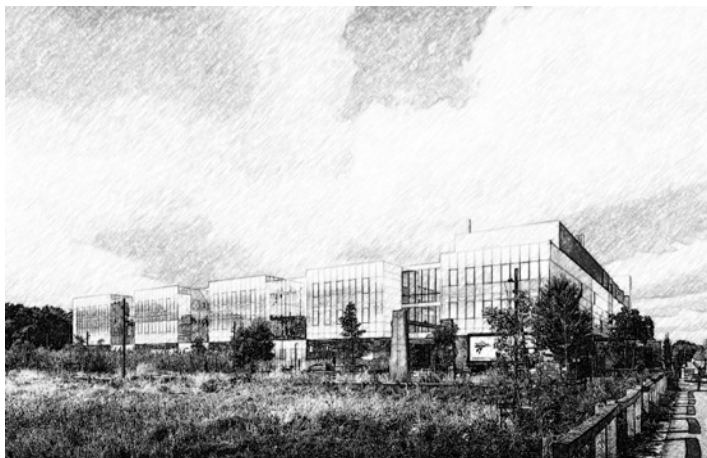
11-16 Jun 2023 Aussois (73500) (France)

# Nanostructures for light trapping in ultra-thin solar cells

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Previously: C2N, CNRS, Paris-Saclay University & IPVF, Palaiseau, France



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15<sup>th</sup> June 2023

- Introduction on photovoltaics and the Shockley-Queisser model
- Solar cell operation, designs and structures
- Ultra-thin solar cells, benchmark (Si, CIGS, GaAs), notable examples
- Low-cost nanofabrication techniques and Nanoimprint Lithography
- Outlook

# News from 8th World Conference on Photovoltaic Energy Conversion

26 - 30 September 2022 in the Milano

<b>Global PV installation</b>	1 <sup>st</sup> Terawatt by fall 2022 (60 years). 2 <sup>nd</sup> Terawatt expected in 3 years <sup>1</sup>
<b>PV share, 100% RE (2050)</b>	60-63% (anyway > 50%) <sup>2</sup>
<b>Market share (2021)</b>	95% Si-wafer based PV <sup>3</sup> (5% CIGS & CdTe)
<b>Energy Payback Time</b>	0.9/1.1 years (north/south Europe) <sup>3</sup>
<b>Production costs (today)</b>	19.9 \$ct/Wp in India / 25 \$ct/Wp EU (high) <sup>4</sup>

<sup>1</sup> Pierre Verlinden, Trina Solar, WCPEC-8, 26 September 2022

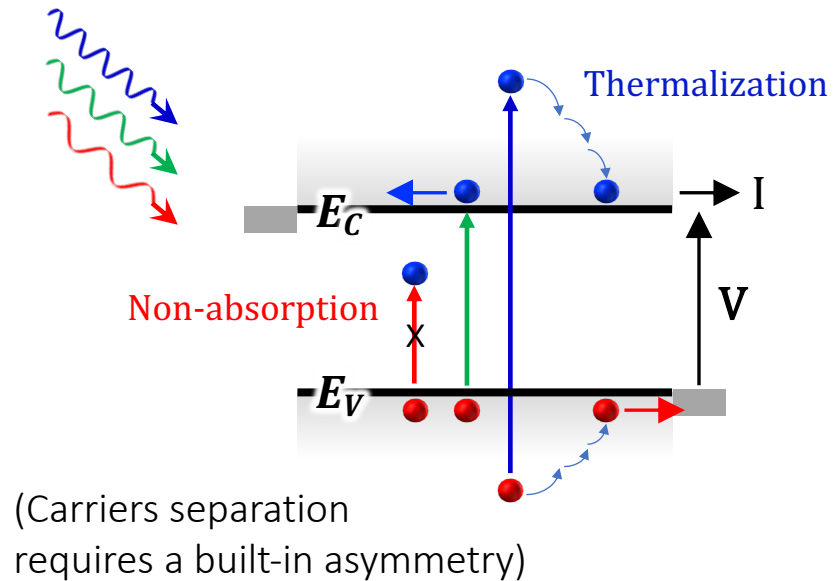
<sup>2</sup> Christian Breyer, Finland University, 5EP.1.3, WCPEC-8, 30 September 2022

<sup>3</sup> PHOTOVOLTAICS REPORT, Fraunhofer ISE, 22 September 2022

<sup>4</sup> Peter Fath, RCT-Solutions, 26 September 2022

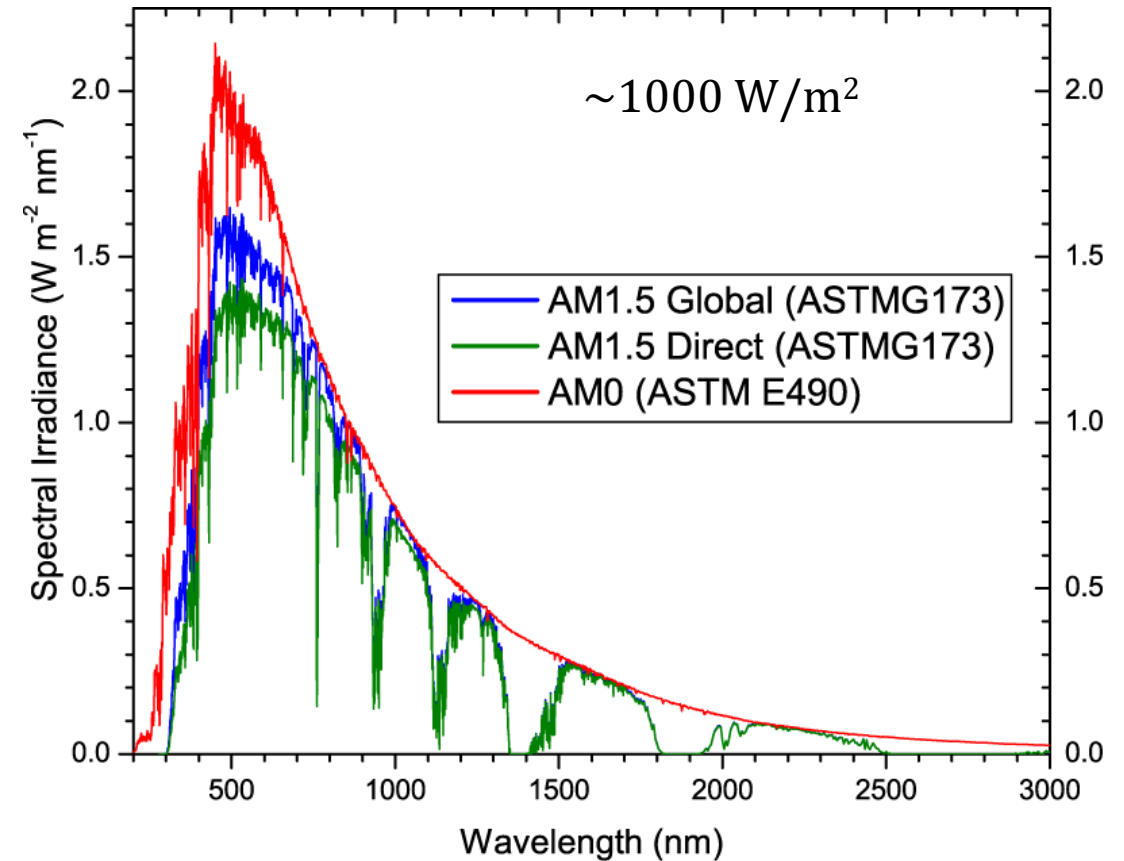
# Shockley-Queisser model for solar cells

## Energy loss processes:



$$P = V \times I$$

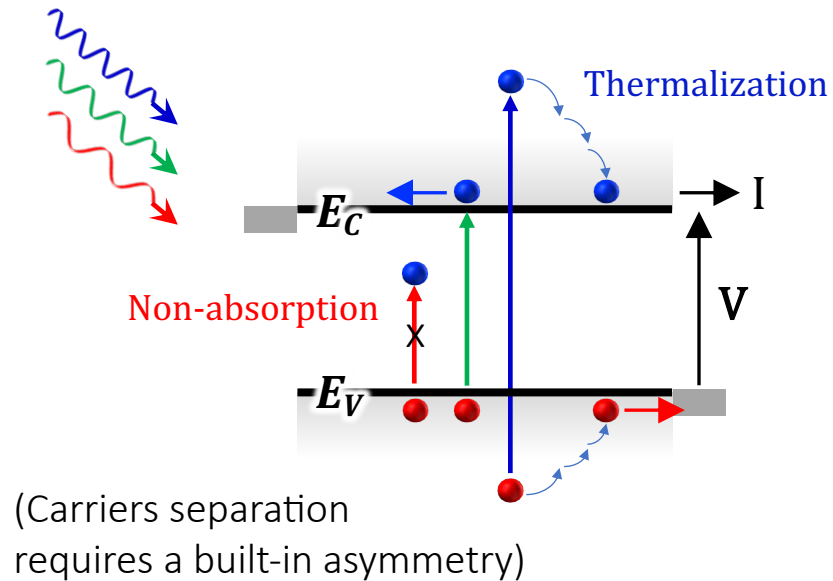
Best bandgap to maximize converted **power** (1-J):  
compromise between high current and voltage





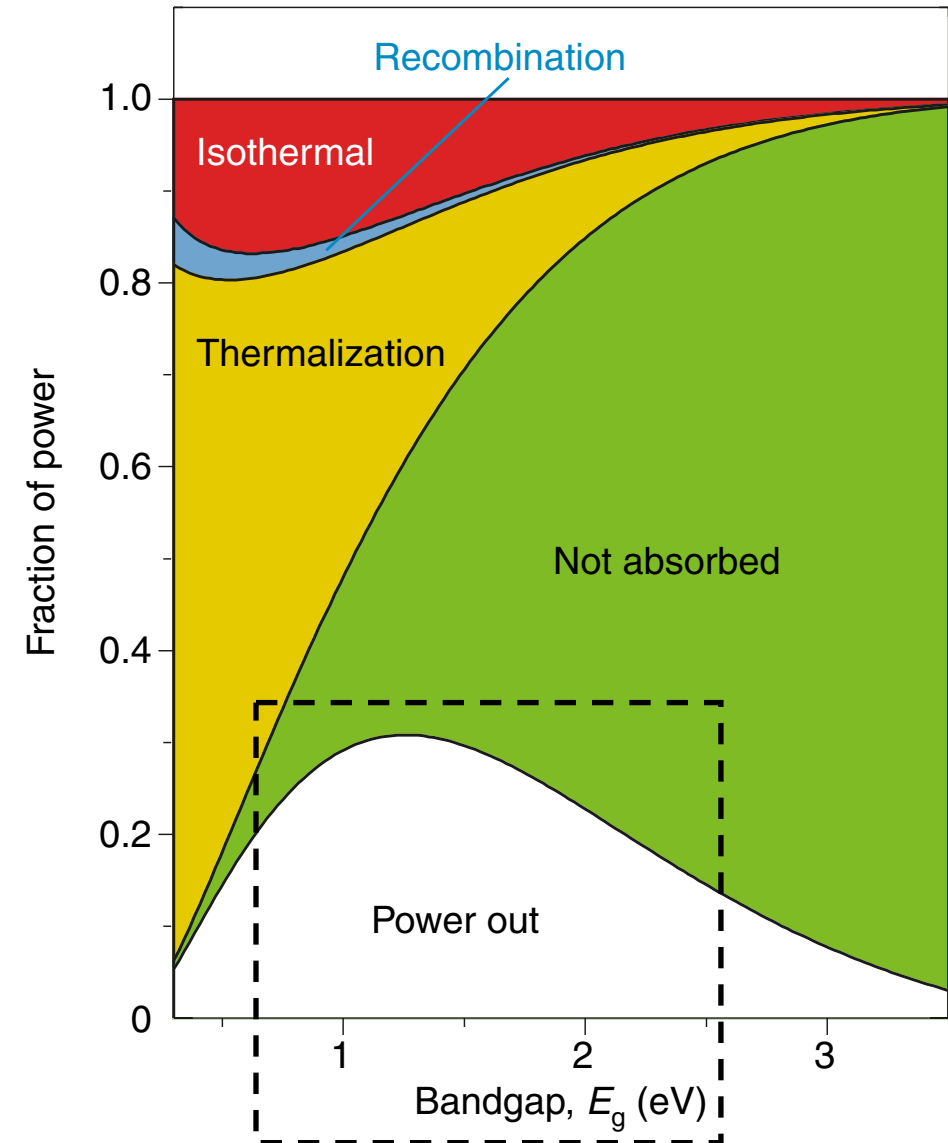
# Shockley-Queisser model for solar cells

## Energy loss processes:



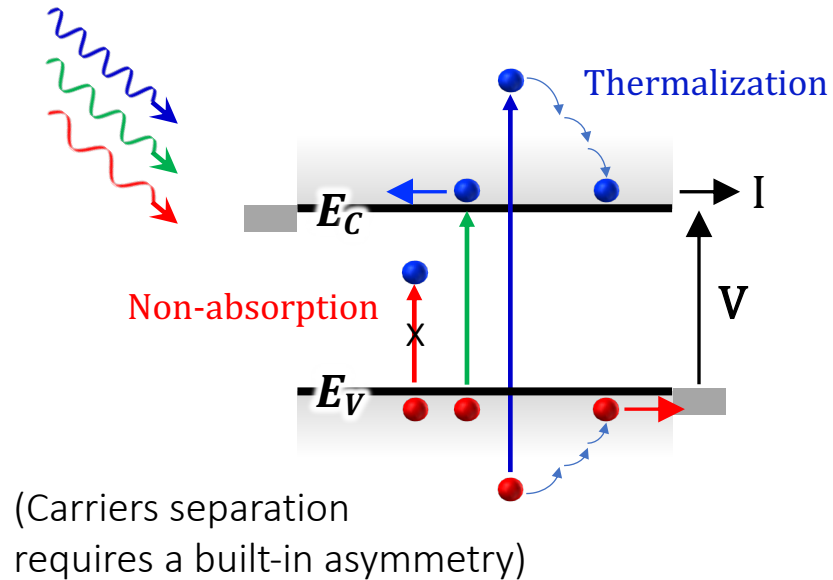
$$P = V \times I$$

Best bandgap to maximize converted **power** (1-J):  
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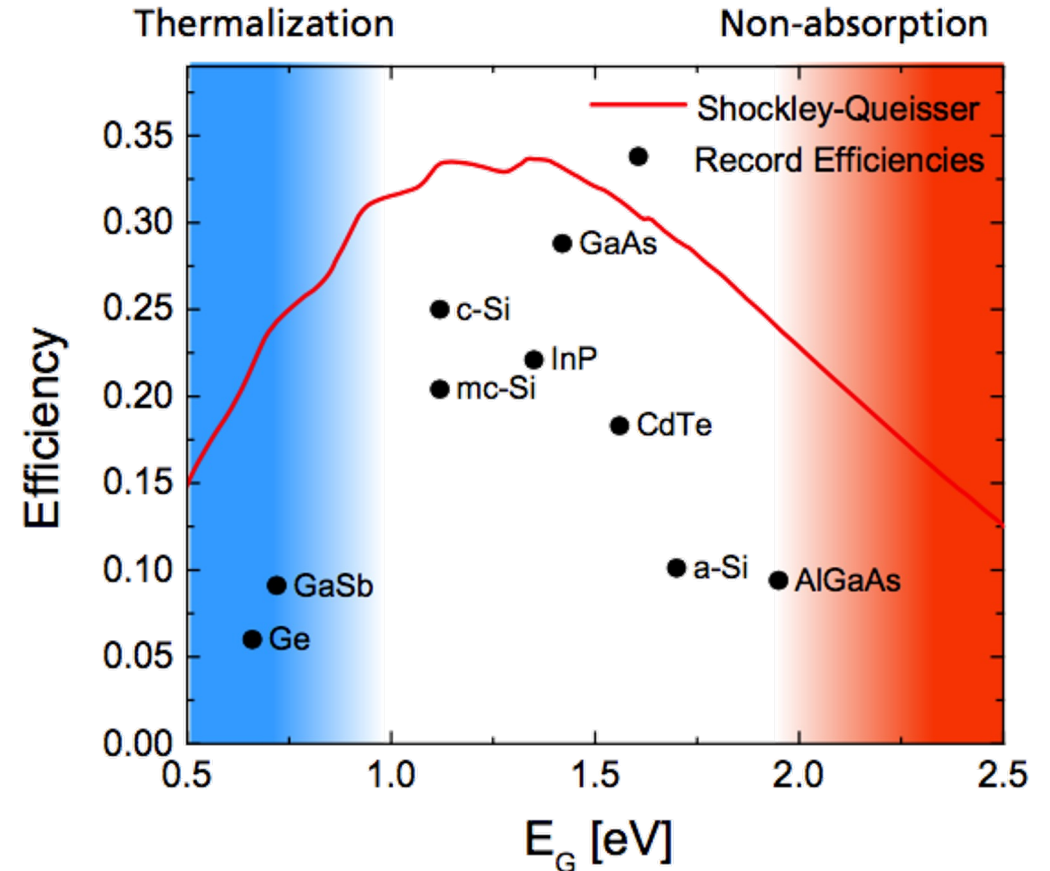
# Shockley-Queisser model for solar cells

## Energy loss processes:

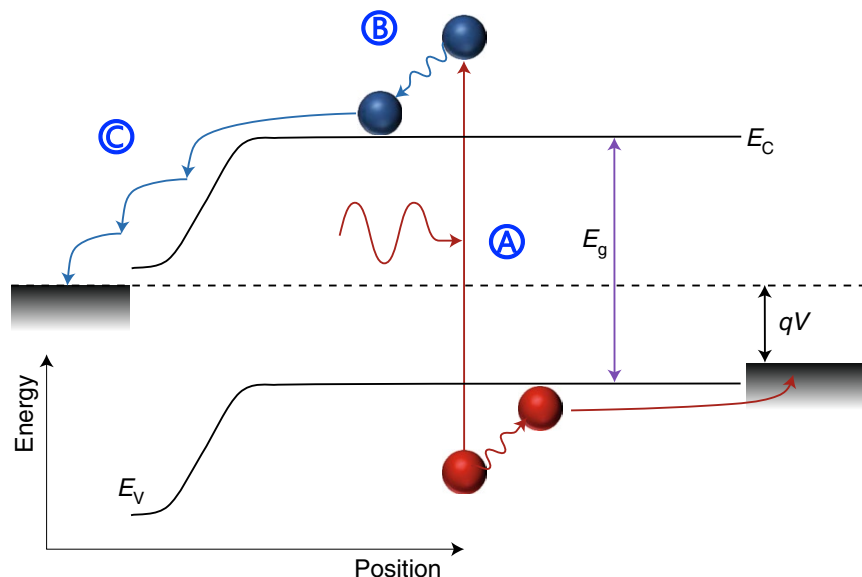


$$P = V \times I$$

Best bandgap to maximize converted **power** (1-J):  
compromise between high current and voltage



# Assumptions of the Shockley-Queisser



## Ⓐ Optical

- 1) At  $E_g$ , absorptivity of photons in the absorber switches from 0 to 1.
- 2) One electron–hole pair per absorbed photon. Each pair is collected at short circuit.

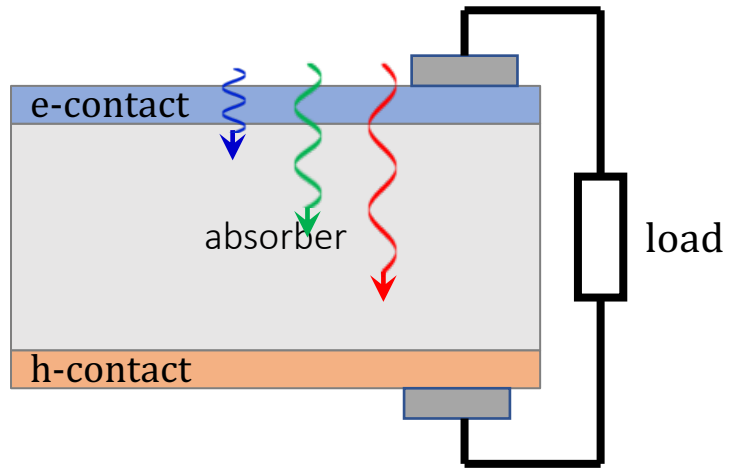
## Ⓑ Thermal

- 3) Heat extraction from the carrier system such that the carrier temperature equals the cell and ambient temperature.

## Ⓒ Electronic

- 4) Electron–hole recombination is only radiative.
- 5) No ohmic losses, contacts are perfectly selective.

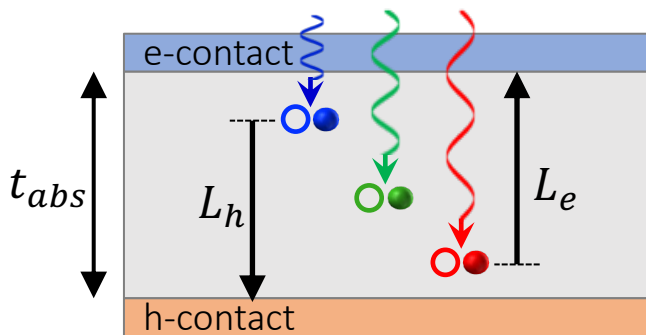
# In real life: solar cell efficiency is governed by the $\alpha\mu\tau$ product



## Photovoltaic absorber material:

- Light absorption ( $\alpha$ )
- Charge-carrier transport ( $\mu$ )
- Charge-carrier recombination ( $\tau$ )

$\alpha\mu\tau$  the higher the better!

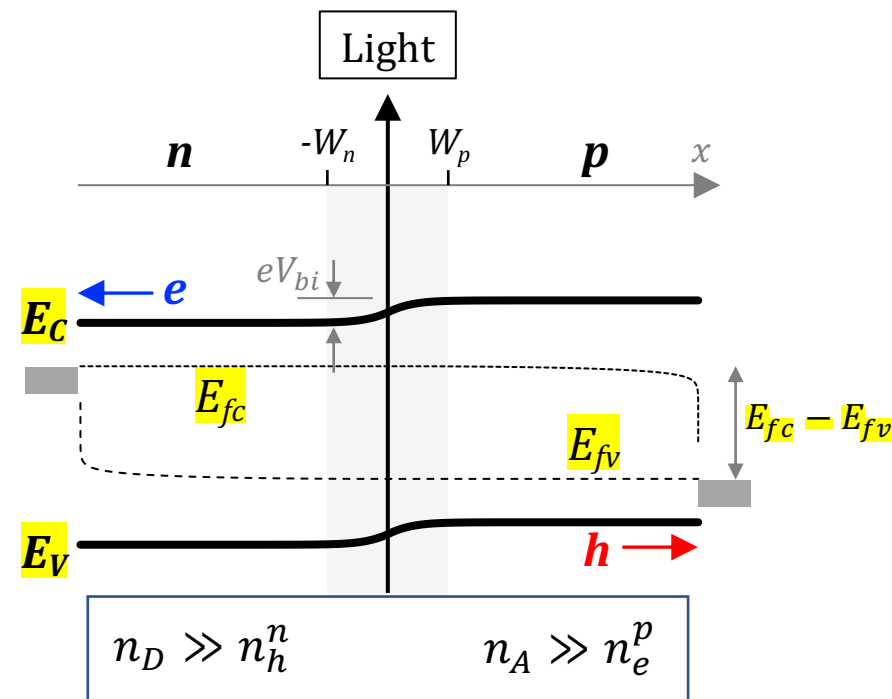
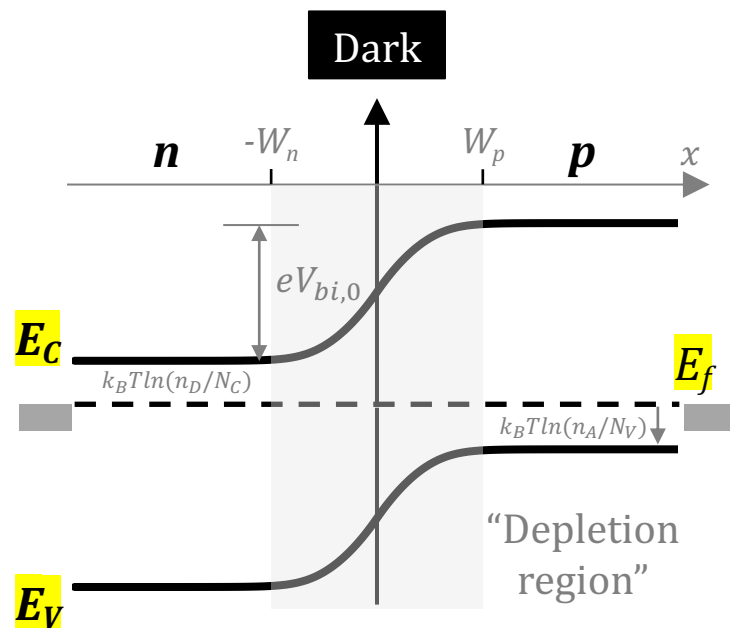


## Typical requirements:

Absorption:  $t_{abs} \gg$  Penetration depth ( $1/\alpha$ )

Collection:  $t_{abs} \ll$  Diffusion/Drift lengths ( $\mu\&\tau$ )

# The pn junction



$E_{fi}$  : Quasi – Fermi level (or Electrochemical Potential)

$$J = \frac{\sigma_e}{e} \nabla E_{fc} + \frac{\sigma_h}{e} \nabla E_{fv}$$

☞ Electron/holes are charged particles and the electrical force ( $\propto \nabla \phi$ ) and the chemical force ( $\propto \nabla n$ ) act on them simultaneously.

$$\sigma = e(n_e \mu_e + n_h \mu_h)$$

# Drift and Diffusion currents

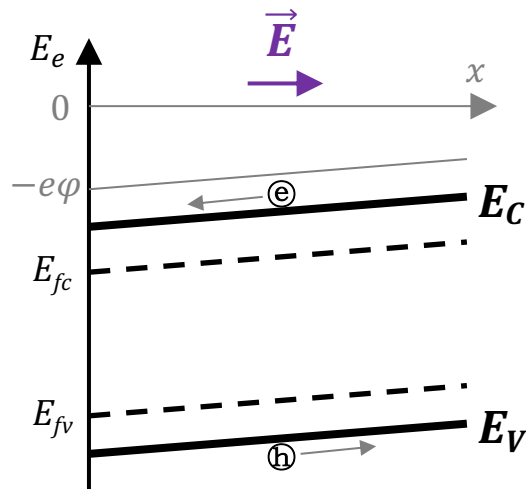
Mathematically - if you like - we can consider the two contributions separately and express:

## Only Field (Drift) current

$$n_e(x) = \text{const.}$$

$$n_h(x) = \text{const.}$$

$$E \neq 0$$



$$J_i^{Drift} = \sigma_i E = -\sigma_i \nabla \varphi$$

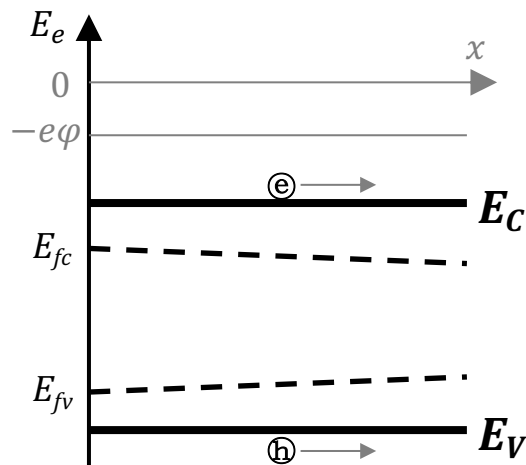
$$\sigma = e(n_e \mu_e + n_h \mu_h)$$

## Only Diffusion current

$$\nabla n_e(x)$$

$$\nabla n_h(x)$$

$$E = 0$$



$$J_i^{Diff} = -q D_i \nabla n_i(x)$$

$$D_i = \frac{k_B T \mu_i}{q}$$

but the 2 current components lack a real physical meaning!

Only the total current originating from the total driving force ( $\propto \nabla E_{fi}$ ) is a real physical quantity.

# Diffusion and Drift lengths

The carrier diffusion length is the mean path length for the charge carrier diffusion during its lifetime ( $\tau_i$ ):

$$L_i^{Diff} = \sqrt{D_i \tau_i}$$

Similarly, the carrier drift length is the mean path length for the charge carrier drift during its lifetime ( $\tau_i$ ):

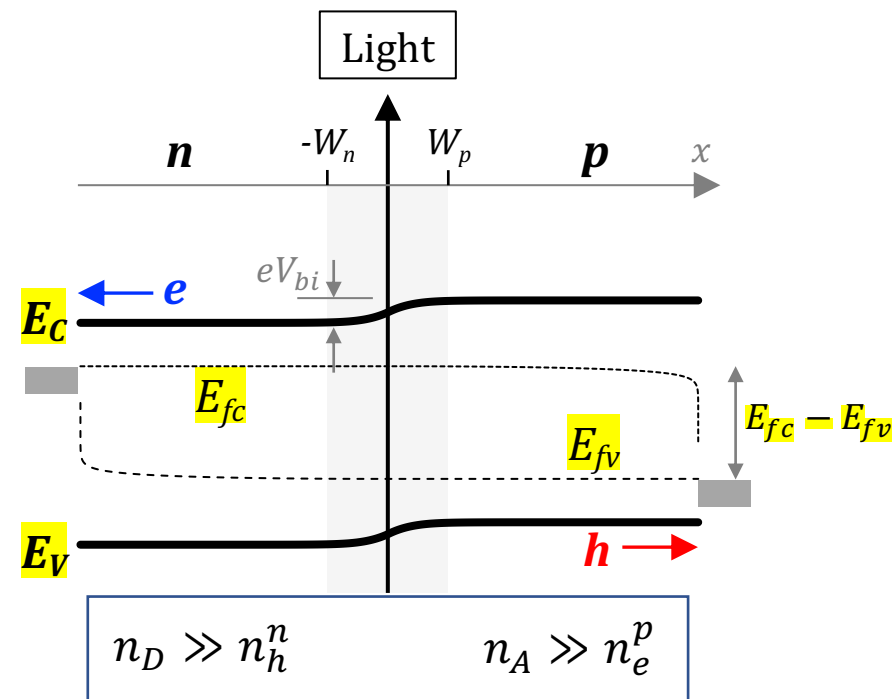
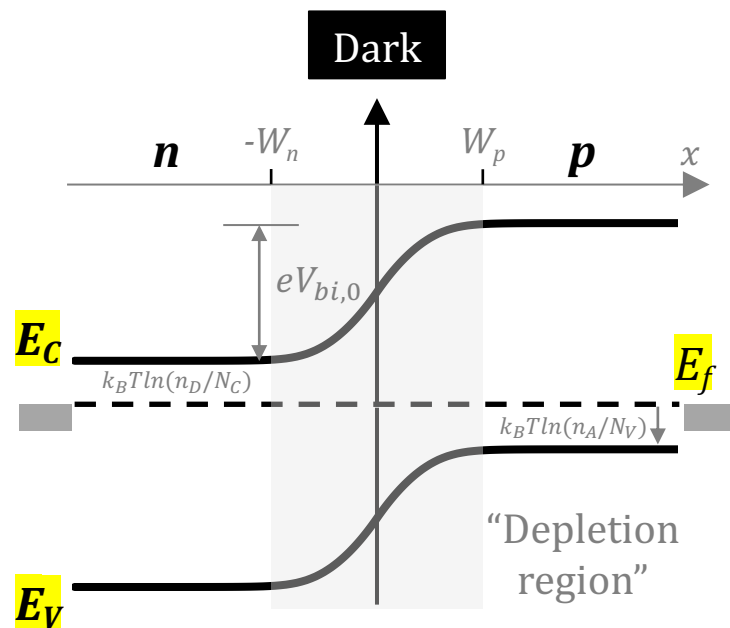
$$L_i^{Drift} = \mu_i \tau_i E$$

$\mu_i$  and  $\tau_i$  strongly depend on densities of defects and impurities

	Si	GaAs
$m_e^*/m_e$	1.08	0.067
$m_h^*/m_e$	0.55	0.47
$\mu_e$ (V <sup>-1</sup> s <sup>-1</sup> )	1400	8500
$\mu_h$ (V <sup>-1</sup> s <sup>-1</sup> )	450	400
$D_e$ (cm <sup>2</sup> s <sup>-1</sup> )	36	200
$D_h$ (cm <sup>2</sup> s <sup>-1</sup> )	12	10
$\tau_{e/h}$ (sec)	up to ms	up to $\mu$ s
$L_{e/h}^{Diff}$ (cm)	100-300 $\mu$ m	10-50 $\mu$ m



# The pn junction



Selective carrier transport can only result from different conductivities of electrons and holes on the way toward “their” contacts!

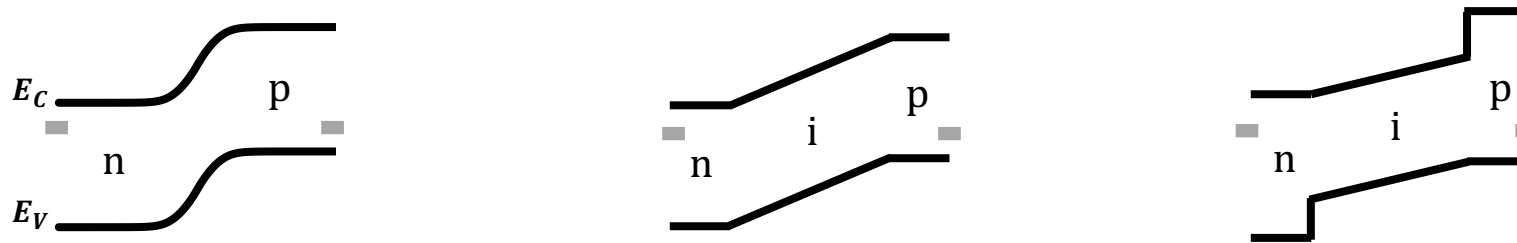
$$J = \frac{\sigma_e}{e} \nabla E_{fc} + \frac{\sigma_h}{e} \nabla E_{fv}$$

$$\sigma = e(n_e \mu_e + n_h \mu_h)$$

# Solar cell structure and design

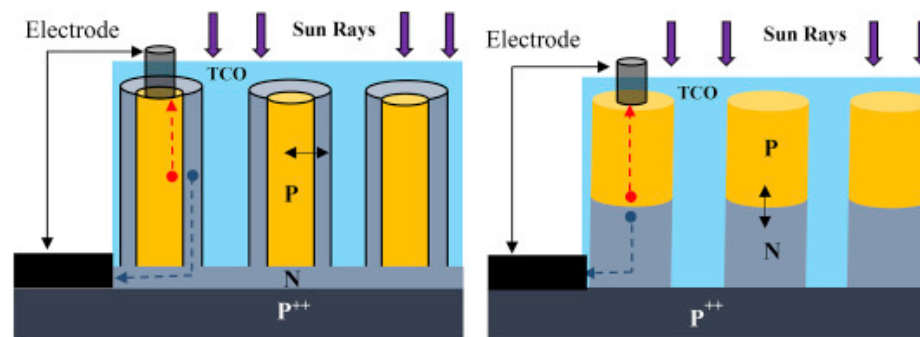
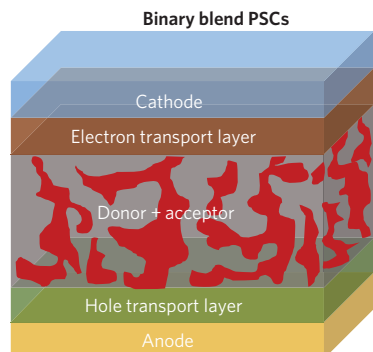
Must be adapted to  $\alpha\mu\tau$ !

In the « **Energy space** »: different **(band) structures** are more/less suitable/possible depending on material and its  $\alpha\mu\tau$

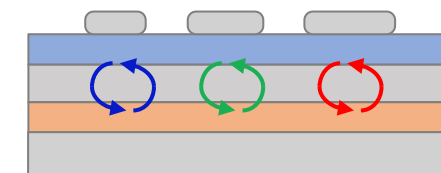


In the « **Real space** »: different **(geometrical) designs** can compensate for some material “weaknesses”

We need enough material, how it is arranged is not important!

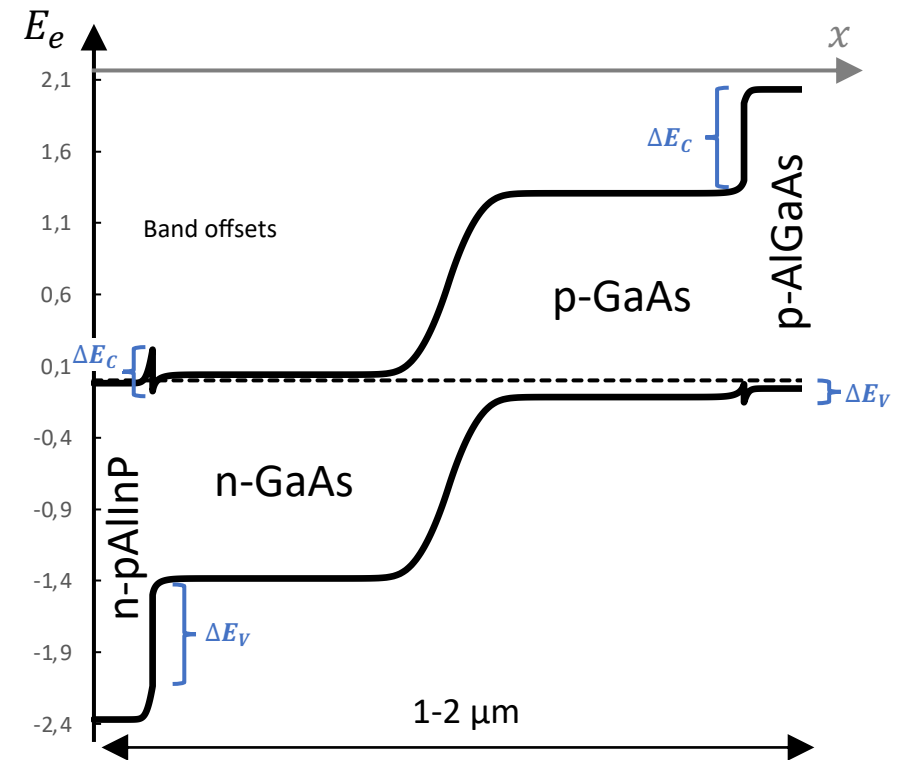


Otherwise, let's bend the light instead of the absorber!



# GaAs (and III-V) solar cells

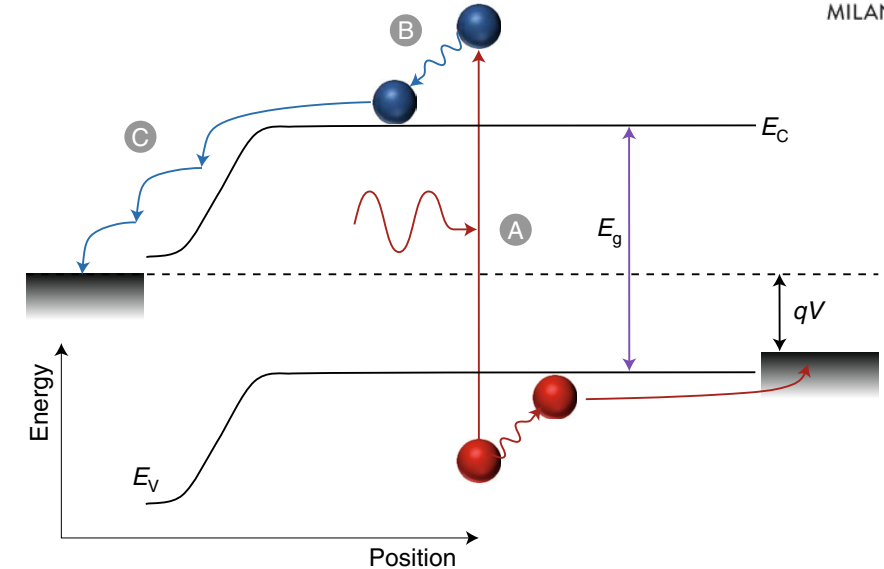
- Monocrystalline, high crystal quality, low defects
- High absorption coefficient ( $\alpha$ ), direct  $E_g$  ( $t^{\text{absorber}} \sim 2 \mu\text{m}$ )
- Long lifetimes ( $\tau$ ), very high mobilities ( $\mu$ ), long diffusion lengths
- Doping possible
- Chemical passivation possible (wide-bandgap III-V)
- Hetero-contacts possible and much more!



Material	$E_g$ (eV)	$\chi$ (eV)	Interface	$\Delta E_c$ (eV)	$\Delta E_v$ (eV)
GaAs	1.42 [18]	4.07 [18]	GaAs/GaInP	0.06	0.375
$\text{Ga}_{0.52}\text{In}_{0.48}\text{P}$	1.85 [19]	4.01 [21]	GaAs/AlInP	0.3	0.63
$\text{Al}_{0.53}\text{In}_{0.47}\text{P}$	2.35 [20]	3.78 [21]	GaInP/AlInP	0.23	0.27
$\text{Al}_{0.8}\text{Ga}_{0.2}\text{As}$	2.09 [13]	3.53 [22]	GaAs/AlGaAs	0.54	0.13
			GaInP/AlGaAs	0.48	0.24

# c-Si solar cells

- Monocrystalline, high crystal quality, low defects
- Low absorption coefficient ( $\alpha$ ), indirect bandgap ( $t^{\text{absorber}} \sim 200 \mu\text{m}$ )
- Very long lifetimes ( $\tau$ ), high mobilities ( $\mu$ ), very long diffusion lengths
- Doping possible
- Chemical passivation possible:  $\text{SiO}_2$ , hydrogenated a-Si (a-Si:H)
- Hetero-contacts possible: a-Si:H/doped-a-Si + TOPCon and others
- + doped microcrystalline Si ( $\mu\text{c-Si}$ )



**Many band structures and architectures, but in general:**

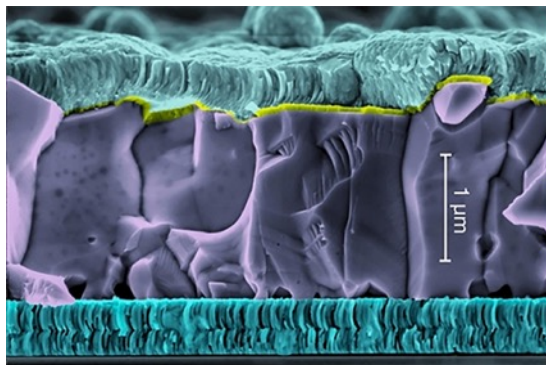
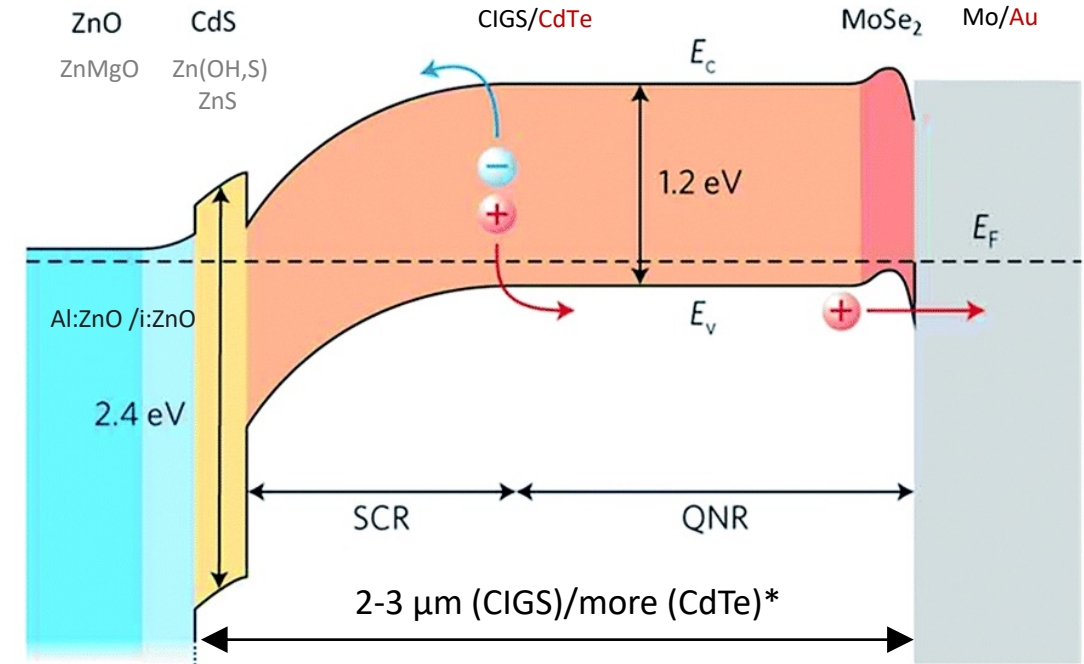
**p-n:** 100-300  $\mu\text{m}$  thick p-type silicon wafer base, poorly doped + thin n-type emitter highly doped

**Heterojunction:** Si wafers + passivated contacts hydrogenated amorphous silicon (a-Si:H) + doped nanocrystalline silicon (nc-Si:H) or doped silicon oxide (nc-SiO<sub>x</sub>:H)

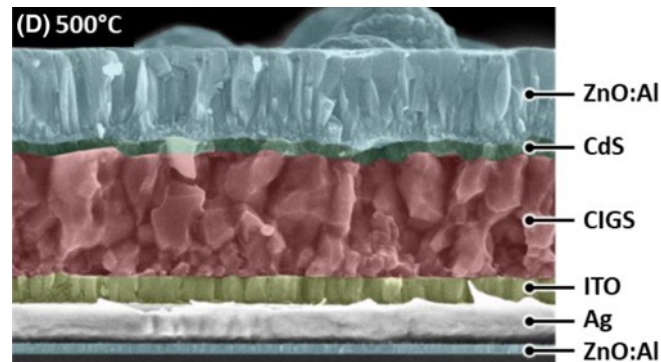
**TOPCon:** Si wafers + SiO<sub>2</sub> passivation + doped microcrystalline Si (μc-Si)

# Cu(In,Ga)Se<sub>2</sub> & CdTe solar cells

- Polycrystalline, defects → Passivation of grain boundaries required with Alkali-metals (CIGS) or annealing in CdCl<sub>2</sub> atmosphere (CdTe)
- (Very) High (CdTe) absorption coefficient ( $\alpha$ ), direct  $E_g$  ( $t^{\text{absorber}} \sim 4 \mu\text{m}$ )
- Poor lifetimes ( $\tau$ ), mobilities ( $\mu$ ), diffusion lengths (compare to Si and III-V)
- Doping not possible, slightly p-type (Cu vacancies), compensated
- Chemical passivation not possible, few attempt to passivate the p-type contact with charged dielectrics (CIGS)
- Hetero-contacts possible using CdS (intrinsically n-type)



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PPR Appl. 29, 212 (2021)

# I-V Characterization (Dark/Light)



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$$\eta = \frac{V_{MPP} J_{MPP}}{P_{inc}} = \frac{J_{SC} V_{OC} FF}{P_{inc}}$$

$J_{SC}$  : short circuit current

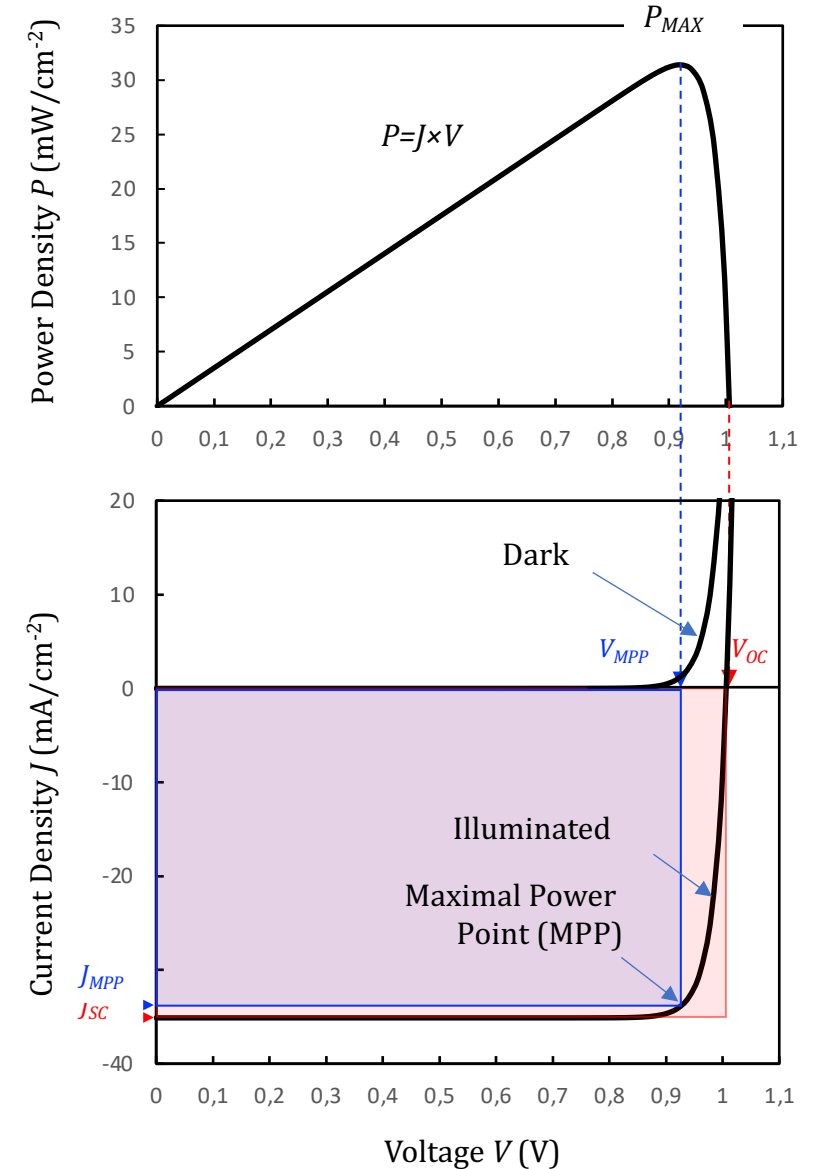
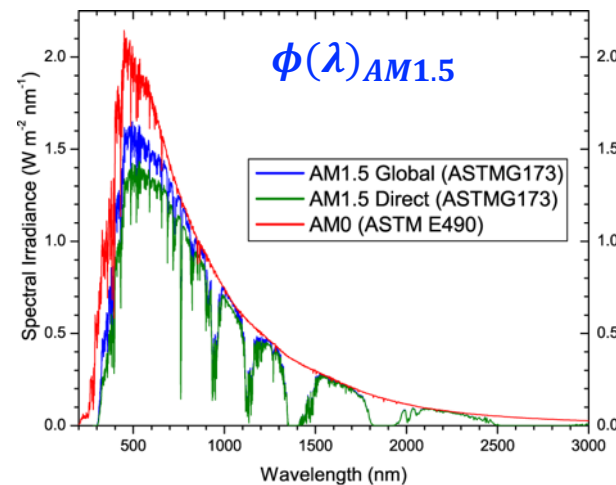
$V_{OC}$  : open circuit voltage

$$FF = \frac{V_{MPP} J_{MPP}}{V_{OC} J_{SC}}$$

In the following we will assume:

$$J_{SC} (d) = q \int A(\lambda) \phi(\lambda)_{AM1.5} d\lambda$$

Absorptivity

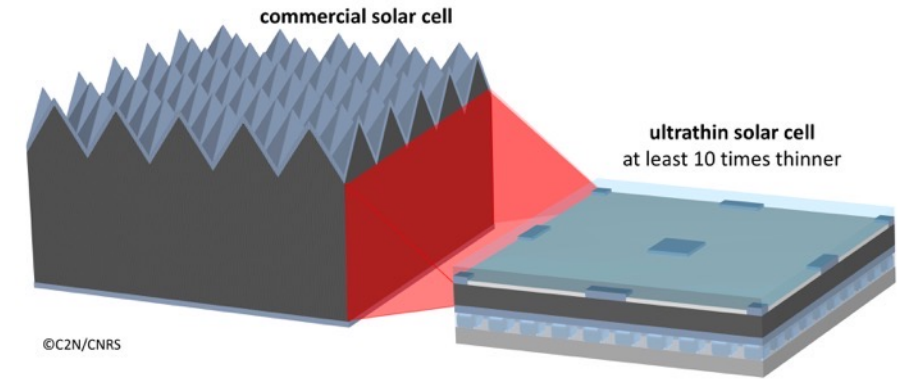


# Ultra-thin solar cells

- State of the art single-junction solar cells today are very close to the “Schottky-Queisser limit”

GaAs	33.5% <sup>th</sup>	29.1% <sup>Exp</sup>	(1-2 $\mu\text{m}$ thick)
c-Si	29.4% <sup>th</sup>	26.7% <sup>Exp</sup>	(165 $\mu\text{m}$ -thick)

- They operate (essentially) at the single-pass absorption
- Conversion efficiency could be retained in ultrathin absorbers ( $1/10^{\text{th}}$ ) using light-trapping



## Does light trapping in solar cell make sense?

### The enthusiastic:

- Completely change the fabrication absorber process (Si)
- Reduce costs (materials) and improve throughput (CIGS)
- Improve lifetime (III-V for space)
- Increased power production by preventing heating
- Improve carrier collection in defective/degraded absorber materials (potential for new/cheaper absorber materials)

### The Skeptical:

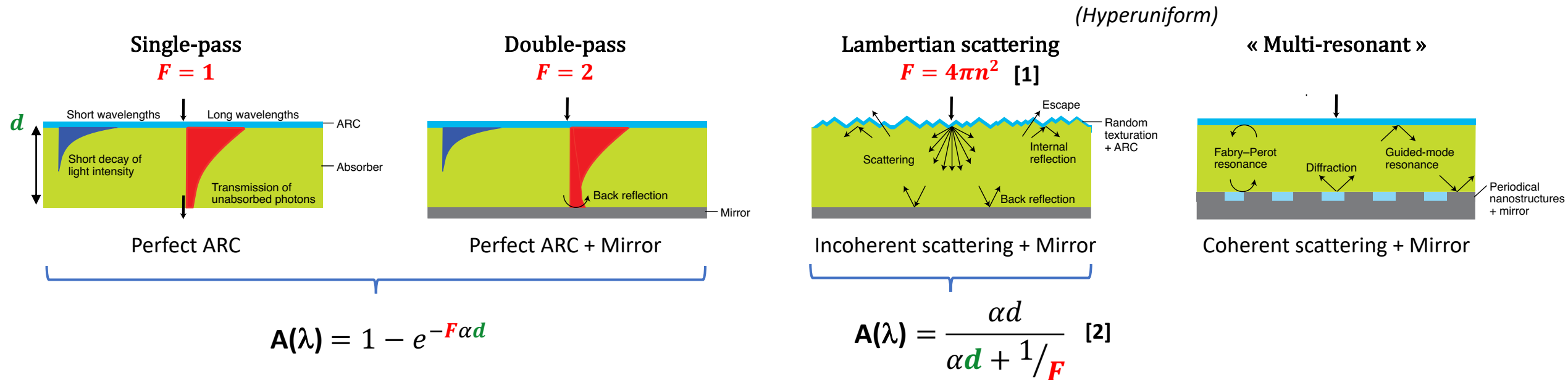
- It may be too late for 1-J Si, maybe for Tandem
- Yes, but CIGS market < 5%
- Yes, but we need light-trapping in 2-J, 3-J
- Yes, a flat mirror will suffice
- Silicon is unbeatable.



# How thin can we go? (1/2)

The optical path can be enhanced by light trapping to compensate for the low absorption in ultrathin layers by a factor  $F$

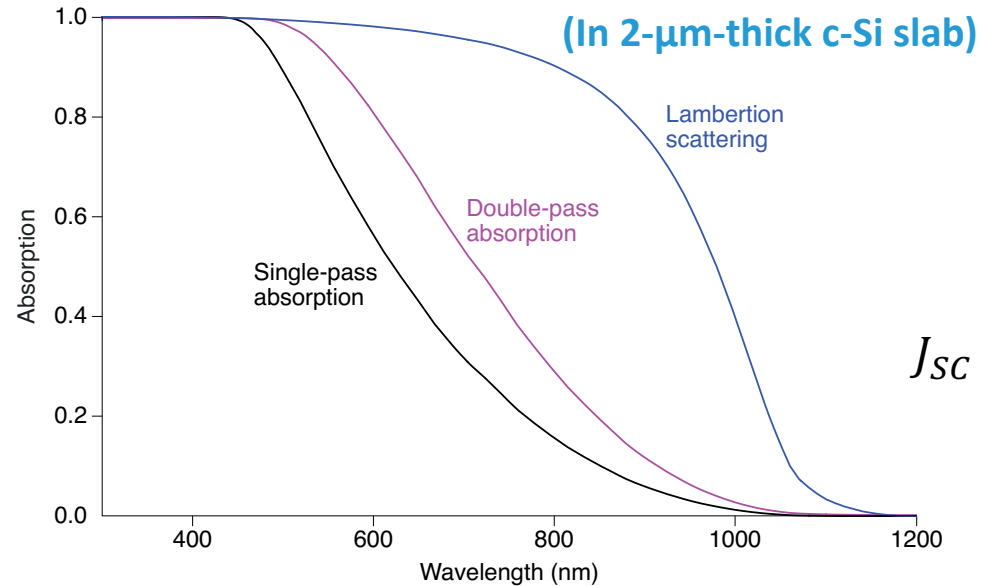
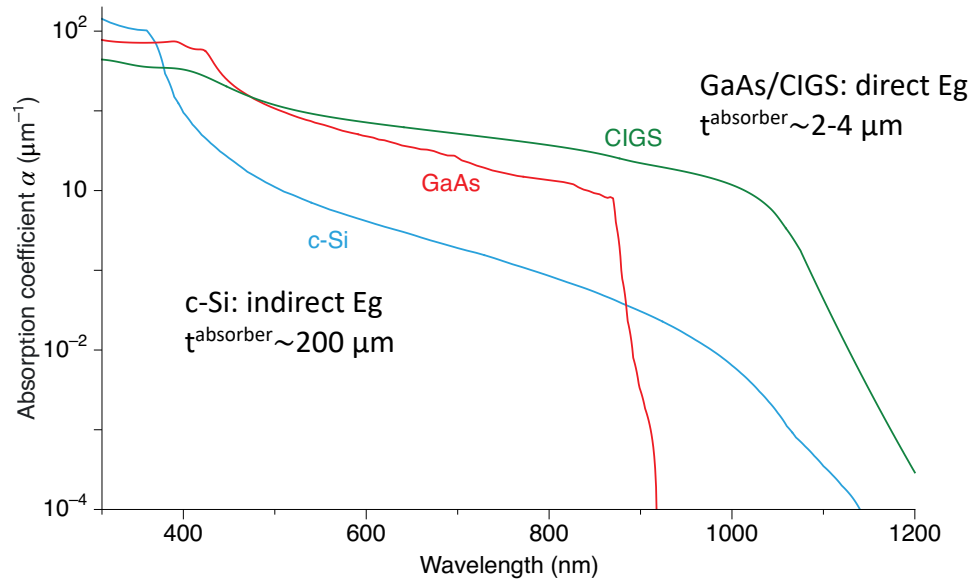
**Optical thickness:**  $F \times d$  (*absorber thickness*)



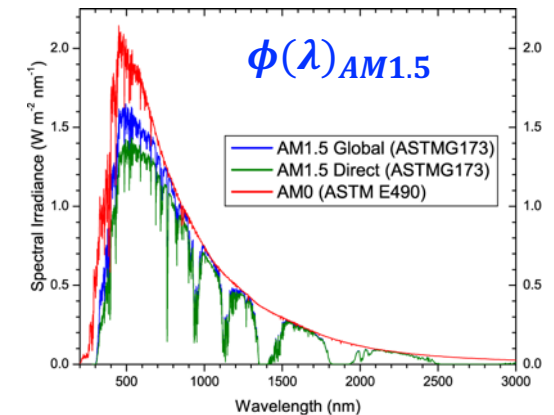
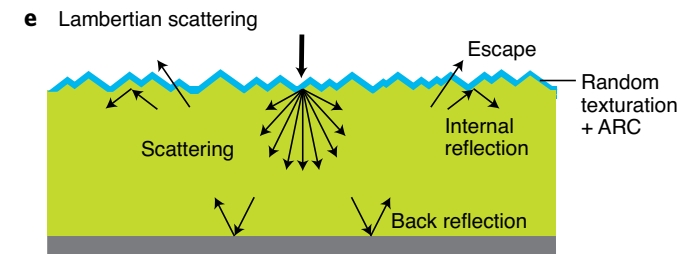
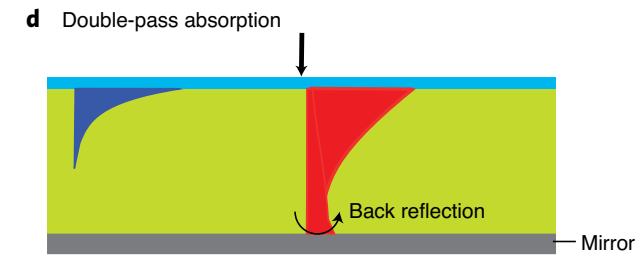
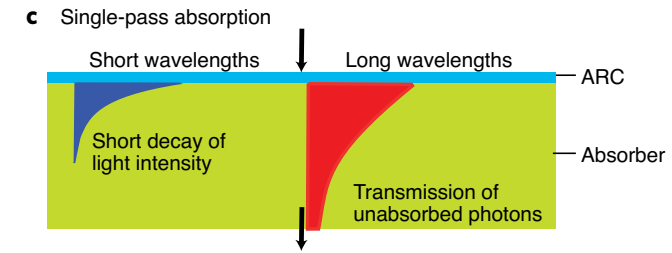
[1] E. Yablonovitch, J OPT SOC AM B 71, 899 (1982)

[2] M. Green, Prog. Photovolt: Res. Appl. 10, 235 (2002)

# How thin can we go? (2/2)

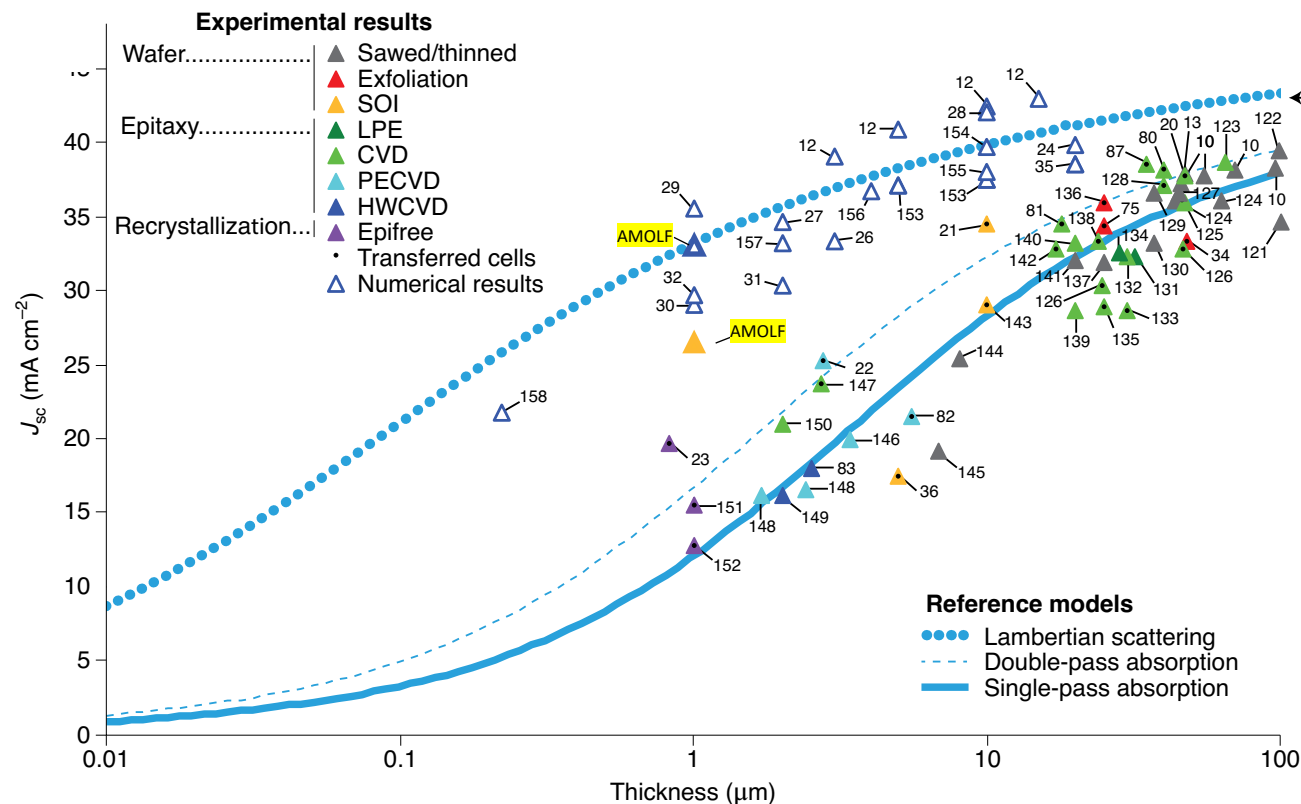


$$J_{SC} (d) = q \int A(\lambda) \phi(\lambda)_{AM1.5} d\lambda$$

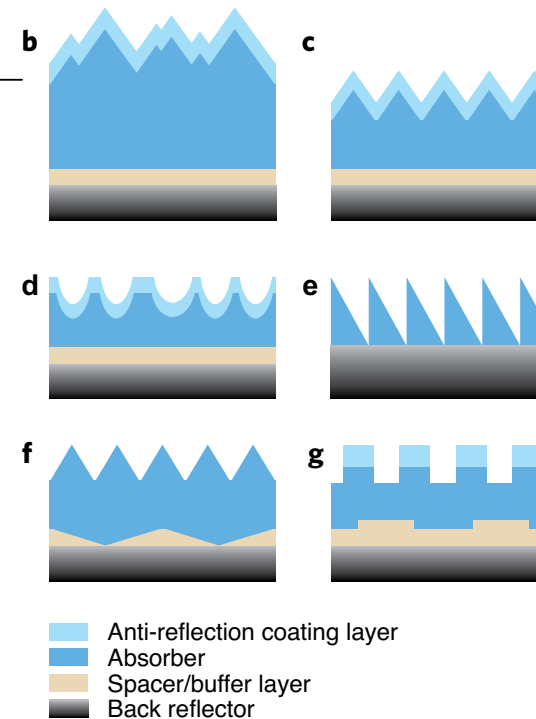


# Benchmark for c-Si

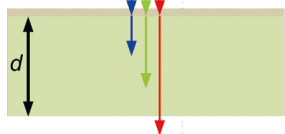
Adapted from : Massiot, Cattoni, Collin Nat. Energy 5, 959 (2020)



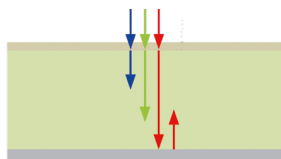
**Record Si cell (Kaneka):  
180  $\mu\text{m}$ , 42.65  $\text{mA}/\text{cm}^2$**



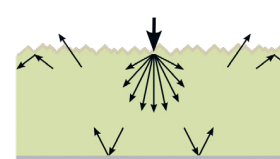
— Single-pass abs. ( $F=1$ )



--- Double-pass abs. ( $F=2$ )



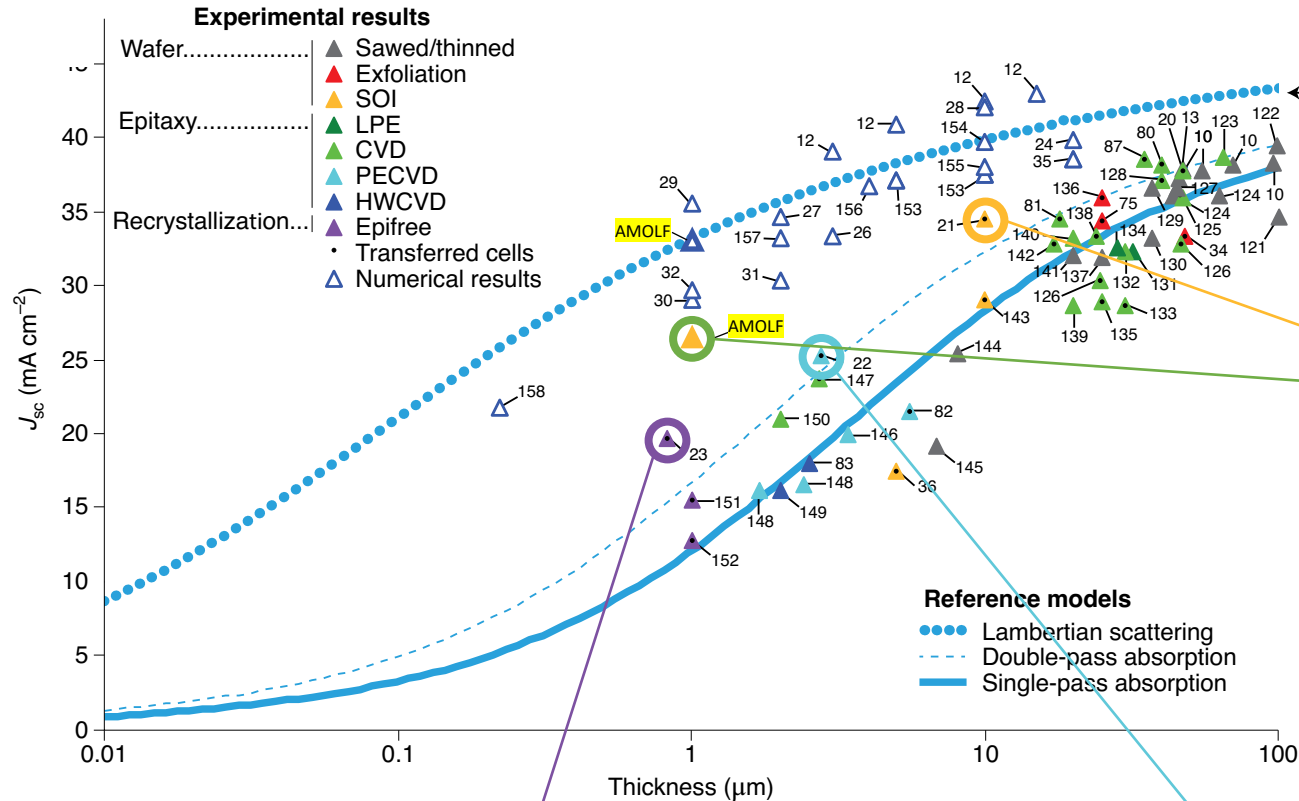
●●● Lambertian scattering ( $F=4n^2$ )



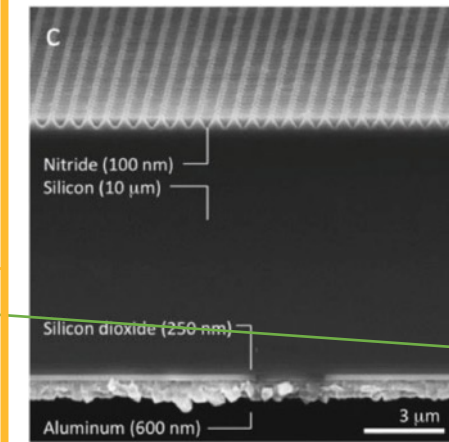
- Si directly textured (simple to etch/passivate)
- Sim. can exceed  $4n^2$
- Not all Sim. take into account complete cell

## Notable examples (Exp.)

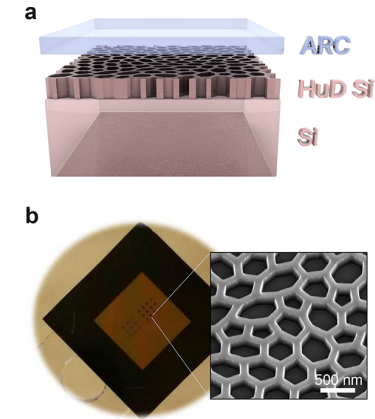
Adapted from : Massiot, Cattoni, Collin Nat. Energy **5**, 959 (2020)



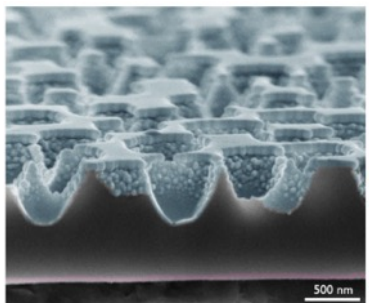
## Low cost Si synthesis/texturing mandatory!



**MIT**  
e-beam litho + wet etching  
SOI  
10  $\mu\text{m}$  nm, 34.5 mA/cm<sup>2</sup>  
*Adv. Mater.* **27**, 2182 (2015)

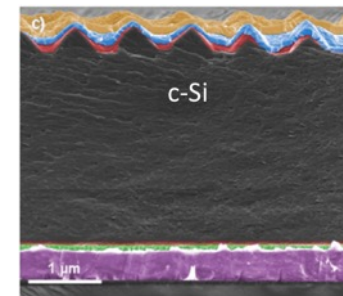


**AMOLF**  
e-beam litho + dry etching  
Si membrane  
1  $\mu\text{m}$  nm, 26.3 mA/cm<sup>2</sup> (**Eq.**)  
*ACS Photonics* **9**, 1206 (2022)



- Antireflection & contact**  
indium tin oxide – 75 nm
- Emitter & passivation**  
i/n+ a-Si:H – 20 nm
- Base**  
p mono-Si – 1.1  $\mu$ m
- Passivation**  
p+  $\mu$ c-SiO<sub>x</sub>:H – 50 nm
- Rear contact**  
aluminium – 750 nm

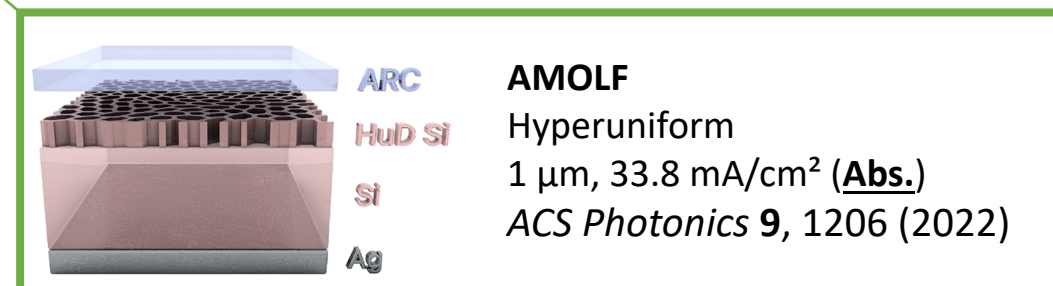
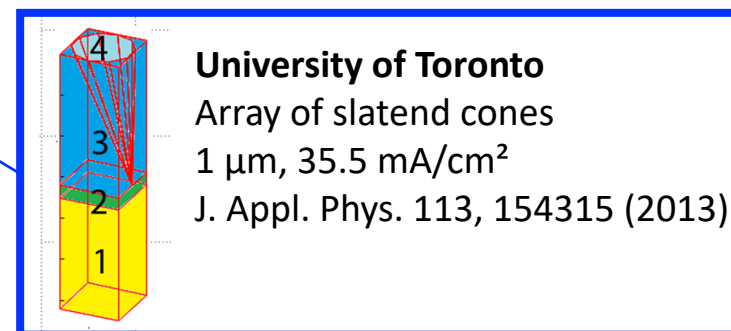
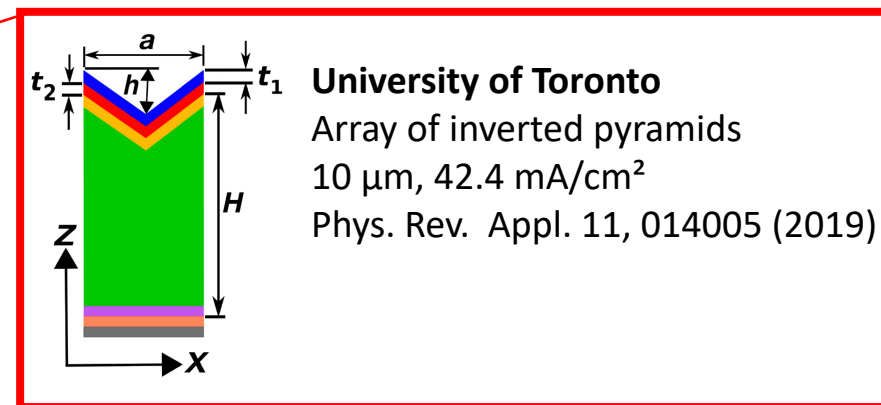
**IMEC**  
Sparse Nanospheres + Dry Etching  
Epi-free  
900 nm 24.8 mA/cm<sup>2</sup>  
*Nano Futures* **1**, 021001 (2017)



- Electrical contact
- ITO
- $\mu\text{c-SiOx:H}$
- p-type a-Si:H
- ZnO:Al
- Ag/Al back mirror

**C2N-CNRS / Ecole Polyt.**  
Soft NIL + wet etching  
Low Temperature PECVD  
3  $\mu\text{m}$  nm, 25.3 mA/cm<sup>2</sup>  
*Nano Lett.*, **16**, 5358 (2016)

Adapted from : Massiot, Cattoni, Collin Nat. Energy **5**, 959 (2020)

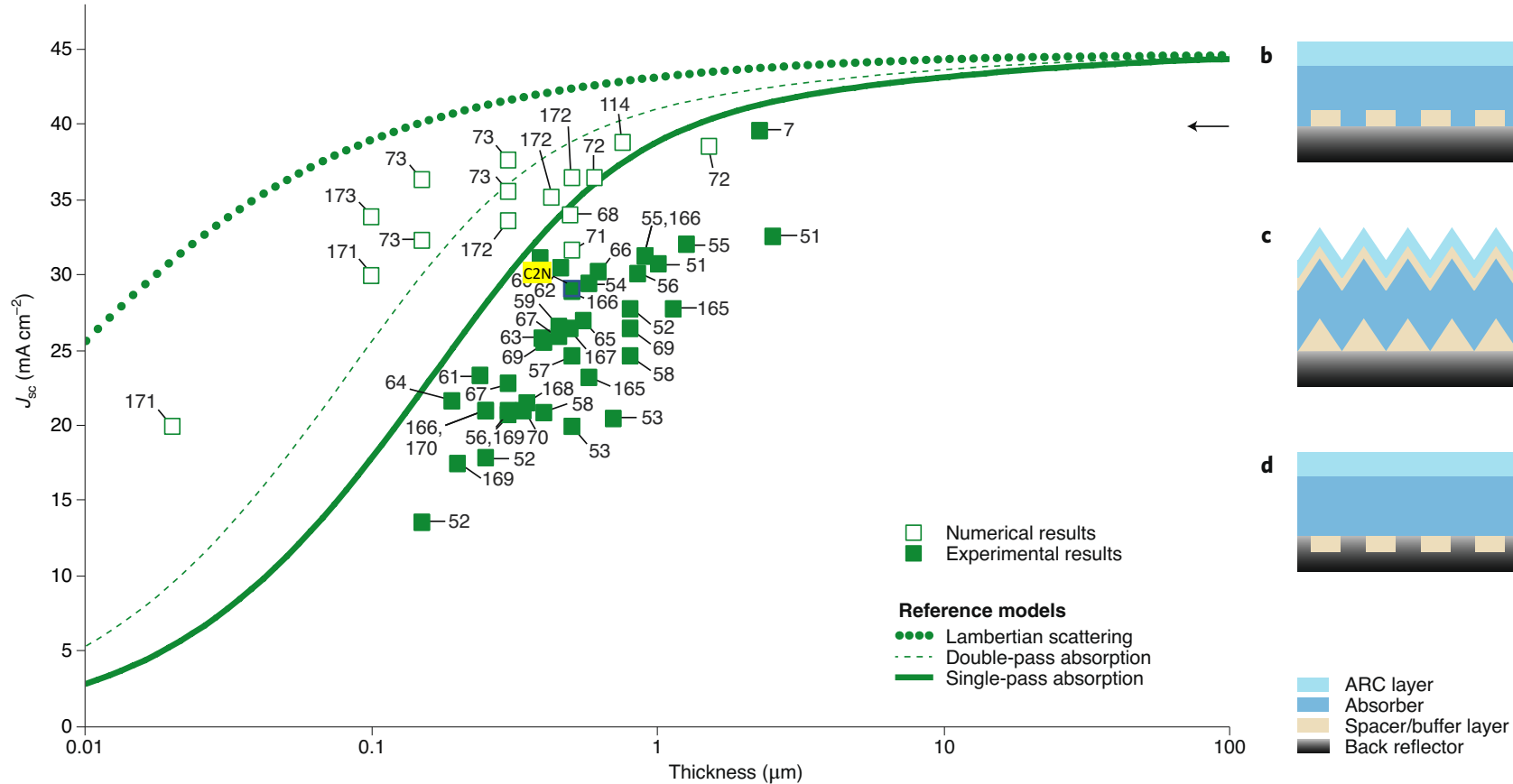


- **Breaking the symmetry pays off**
- **Yet, low cost fabrication is more sensible**

# Benchmark for $\text{Cu(In,Ga)(S,Se)}_2$

Adapted from : Massiot, Cattoni, Collin Nat. Energy **5**, 959 (2020)

Record CIGS cell (Solar Frontier)  
 $2.25\ \mu\text{m}$ ,  $39.58\ \text{mA}/\text{cm}^2$



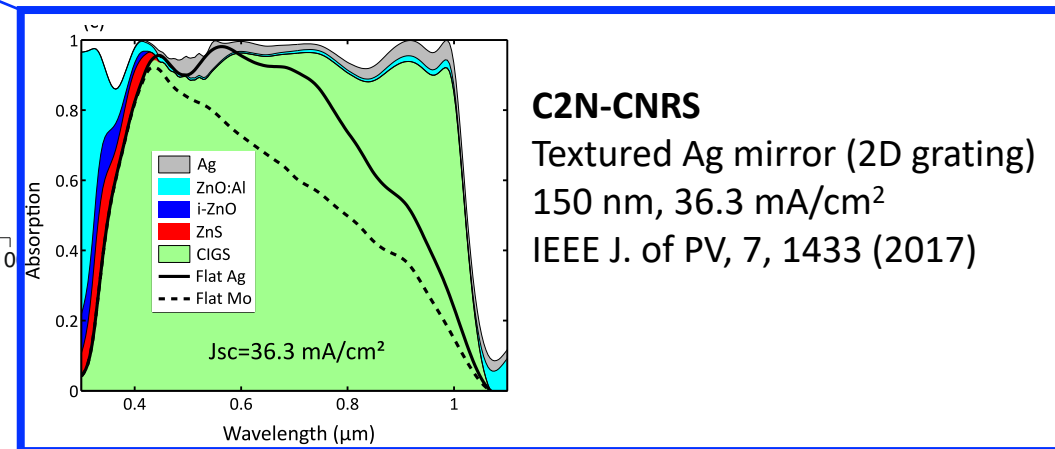
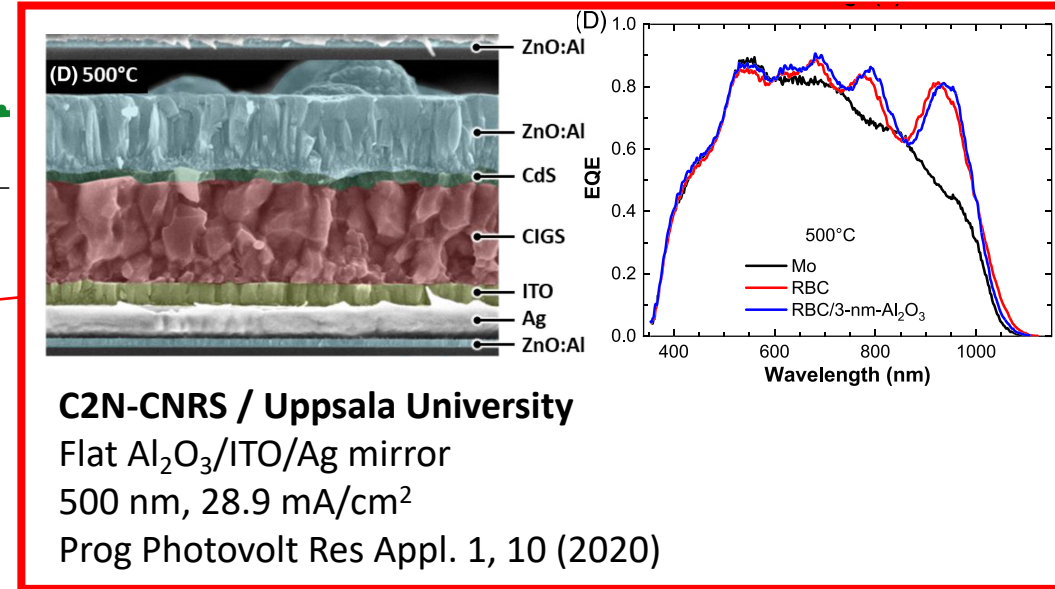
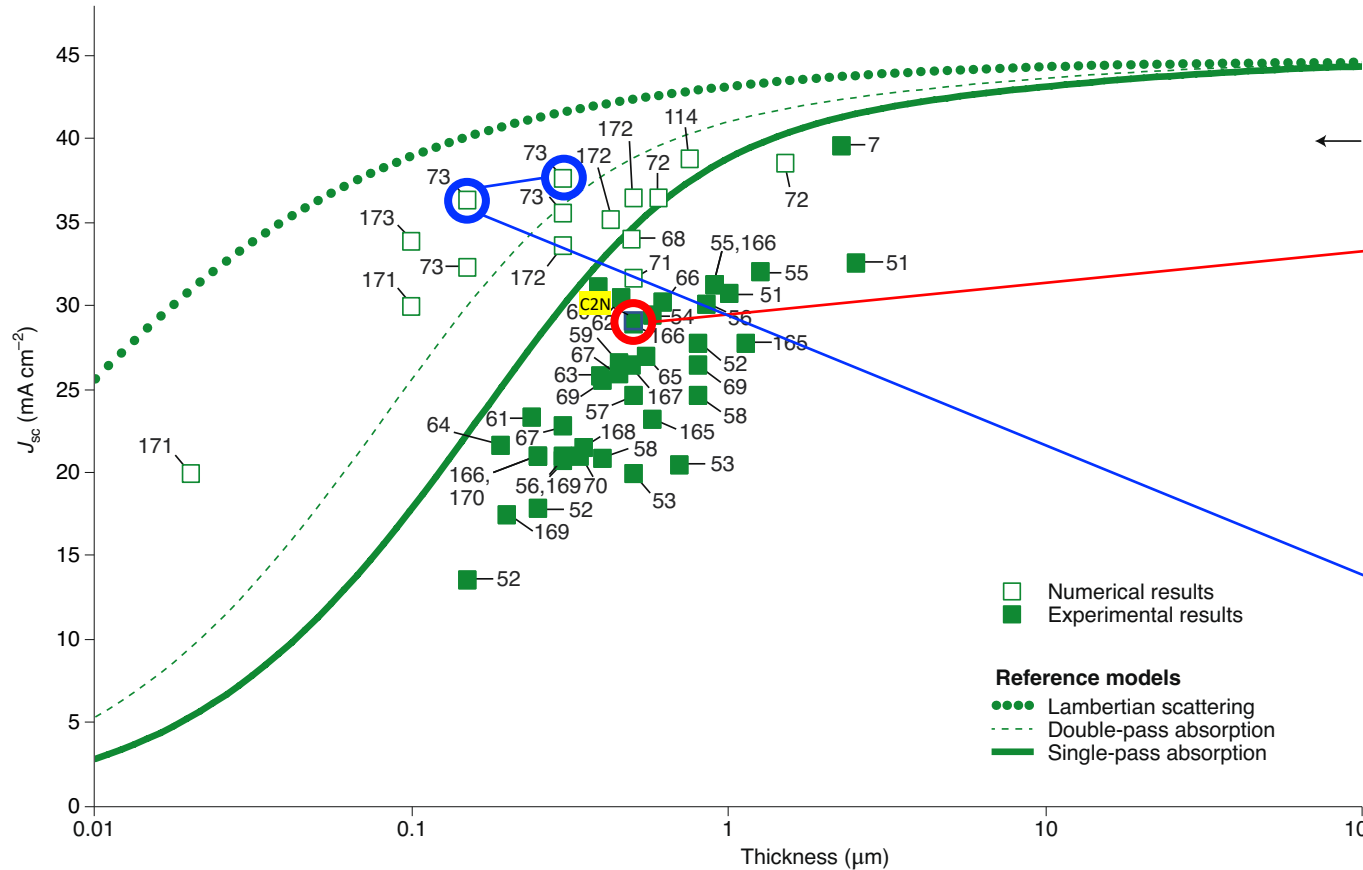
- Exp. well under the single-pass
- We need to replace the Mo back contact first!

# Benchmark for $\text{Cu(In,Ga)(S,Se)}_2$ (Exp. & Sim.)



POLITECNICO  
MILANO 1863

Adapted from : Massiot, Cattoni, Collin Nat. Energy **5**, 959 (2020)



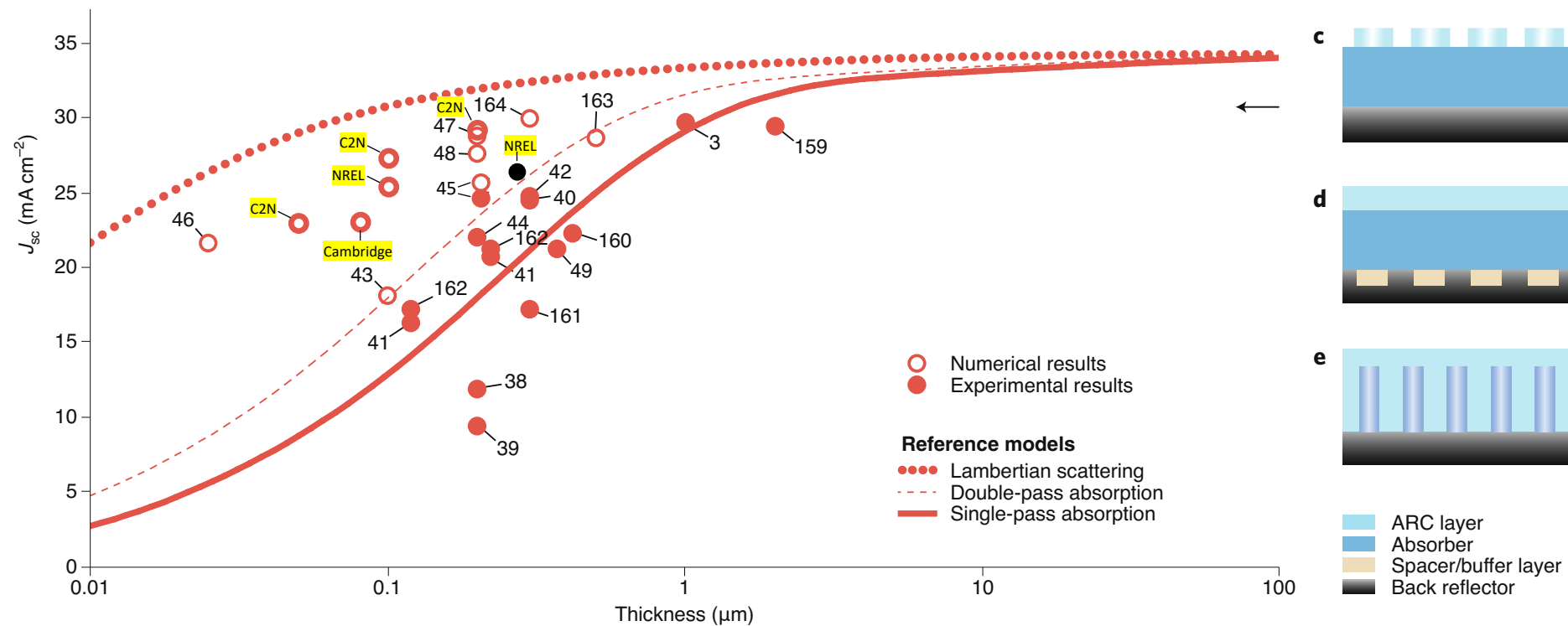
- Exp. well under the single-pass
- We need to replace the Mo back contact first!



# Benchmark for GaAs

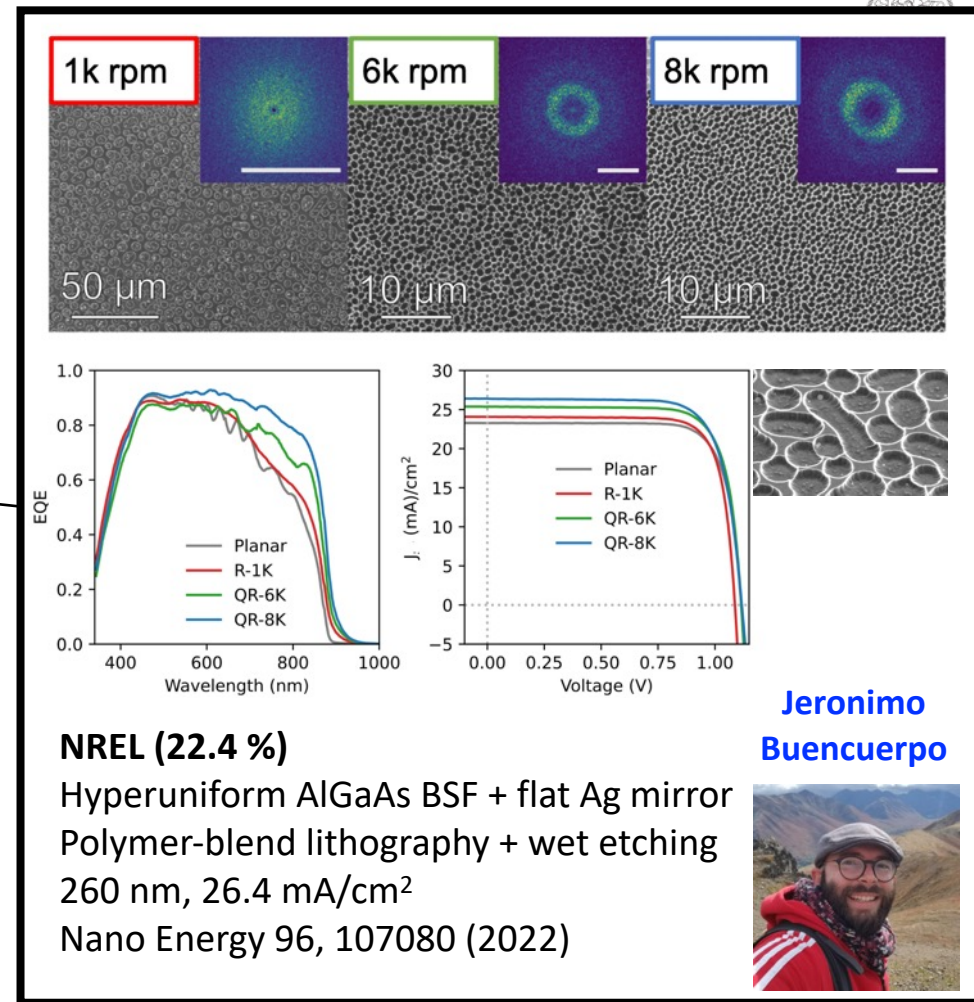
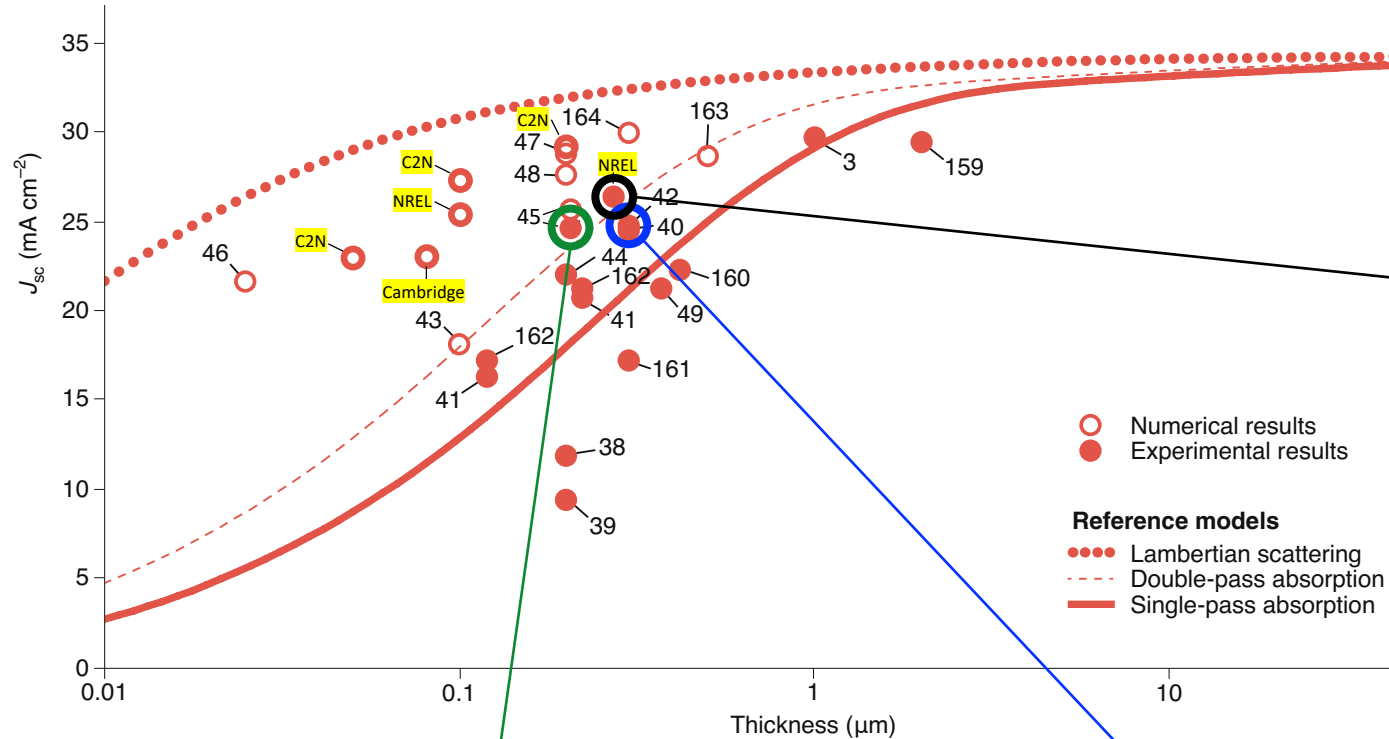
Adapted from : Massiot, Cattoni, Collin Nat. Energy 5, 959 (2020)

Record GaAs cell (Alta Devices)  
1-2  $\mu\text{m}$  (?), 29.78  $\text{mA}/\text{cm}^2$



# Benchmark for GaAs (Exp.)

Adapted from : Massiot, Cattoni, Collin Nat. Energy 5, 959 (2020)



**C2N-CNRS, Fraunhofer ISE (19.9 %)**  
 Nanostructured TiO<sub>2</sub>/Ag mirror  
 Nanoimprint of TiO<sub>2</sub> sol-gel  
 200 nm, 24.64 mA/cm<sup>2</sup>  
 Nature Energy, 4, 761 (2019)

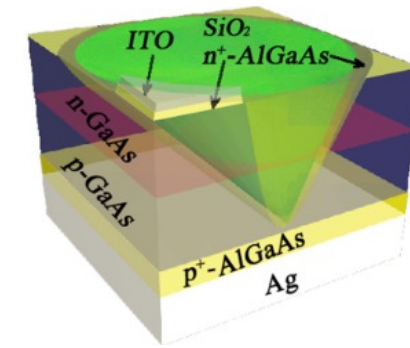
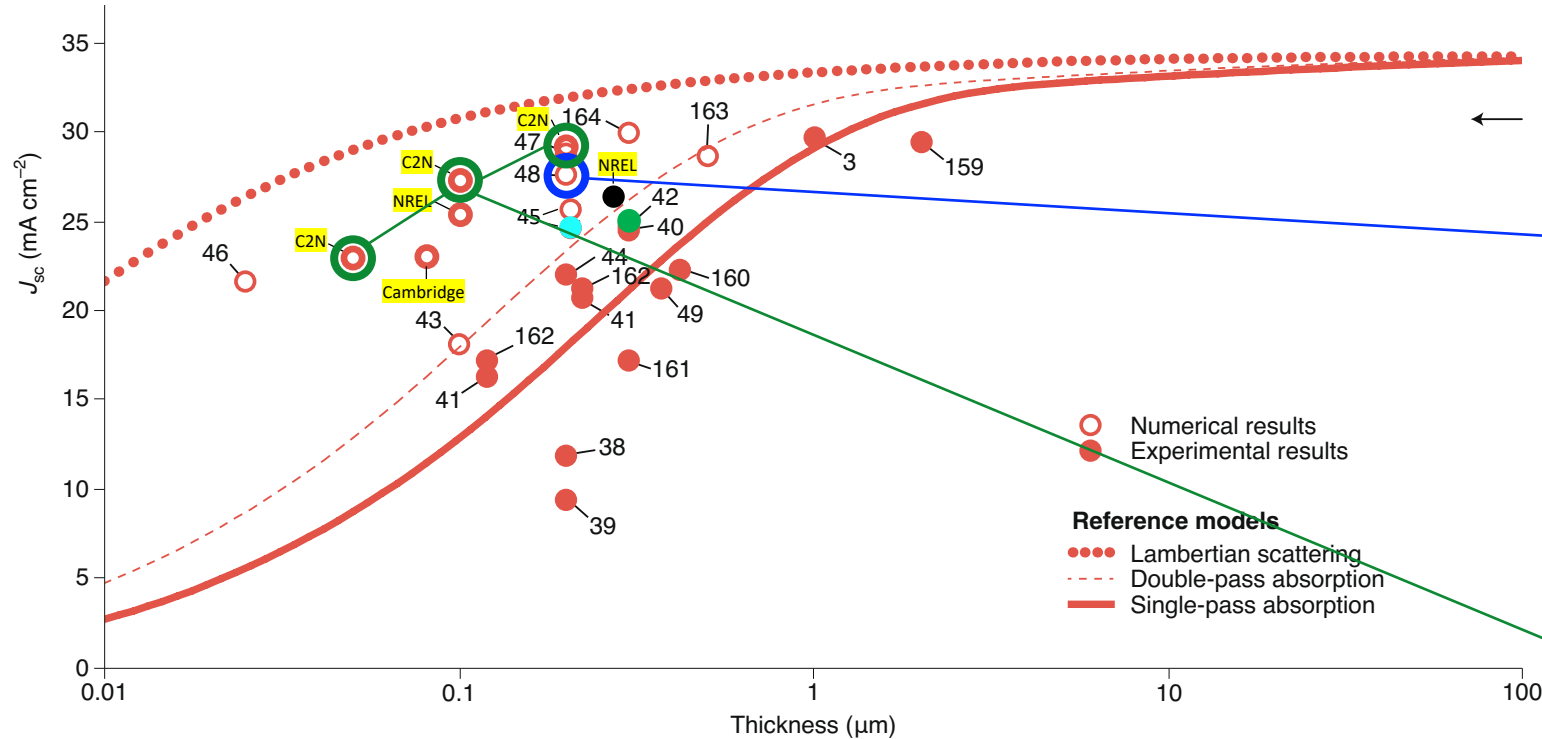
Grid	Au	200
Contact layer	n-GaAs	300
AR coating	MgF <sub>2</sub> /ZnS	94/44
Window	n-AlInP	25
Emitter	n-GaAs	200
Base	p-GaAs	100
BSF	p-InGaP	100
Contact layer	p-Al <sub>0.3</sub> Ga <sub>0.7</sub> As	
Mirror / rear contact	Ag	

Wet chemically textured cell

**Radboud University (21.4%)**  
 Rough p-AlGaAs contact + rough Ag mirror  
 Wet etching  
 300 nm, 24.8 mA/cm<sup>2</sup>  
 Prog. Phot. Res. Appl. 28, 200 (2020)

# Benchmark for GaAs (Sim.)

Adapted from : Massiot, Cattoni, Collin Nat. Energy 5, 959 (2020)

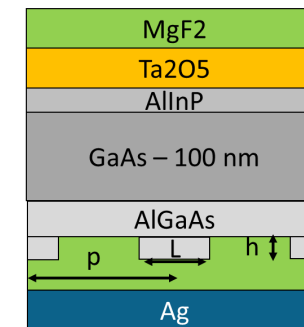


**University of Toronto**

Inverted pyramids array in GaAs + flat Ag mirror

200 nm, 27.6 mA/cm<sup>2</sup>

Scientific Report 6, 1 (2016)



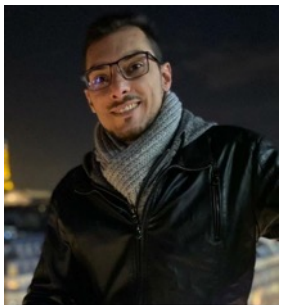
**C2N-CNRS**

2D grating in AlGaAs BSF + flat Ag mirror

100 nm, 27.2 mA/cm<sup>2</sup>

200 nm, 28.9 mA/cm<sup>2</sup>

SPIE OPTO, WCPEC-8



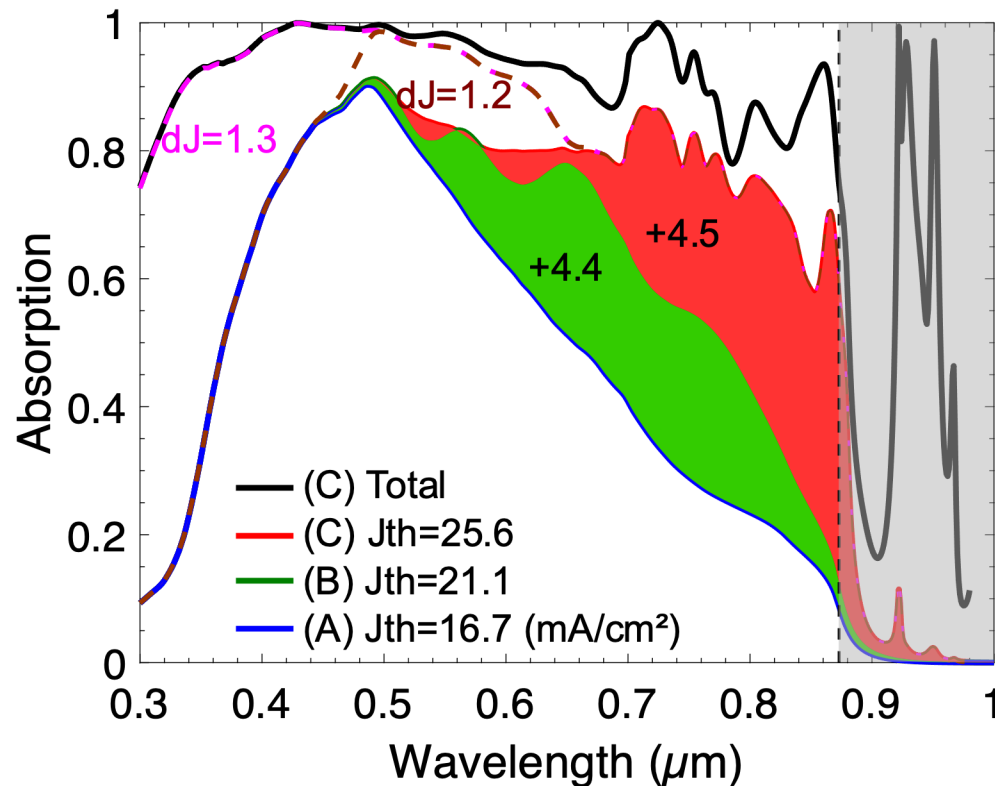
**Daniel Micha**

- Window layer & BSF introduce more losses than in Si  
→ No Sim. Results exceeding Lamb. Model
- Flat Ag mirror (+ spacer) mandatory to reduce its losses

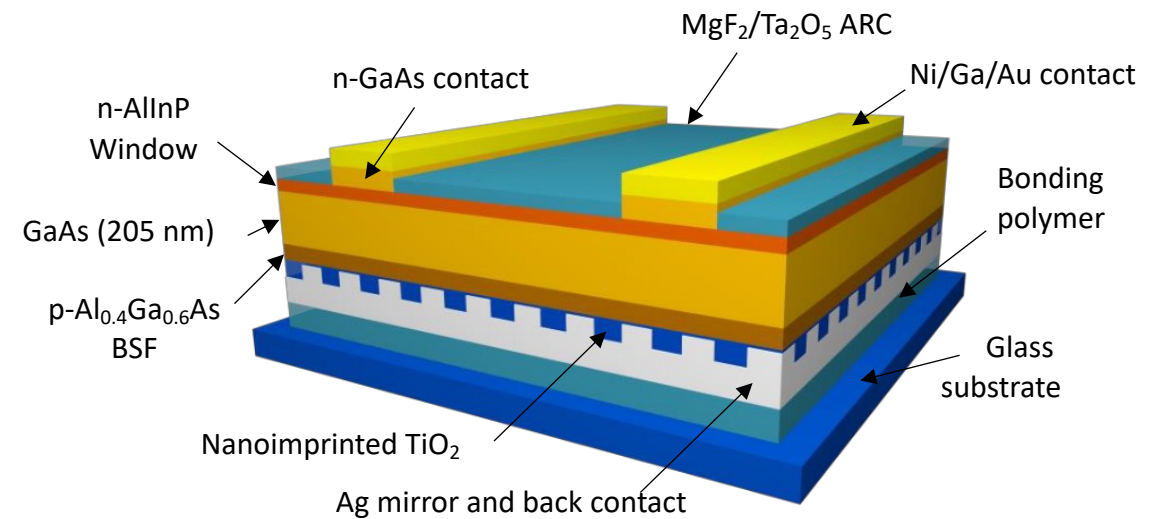
# Ultra-thin (205 nm) GaAs solar cell: design

Electromagnetic simulations (RCWA) of:

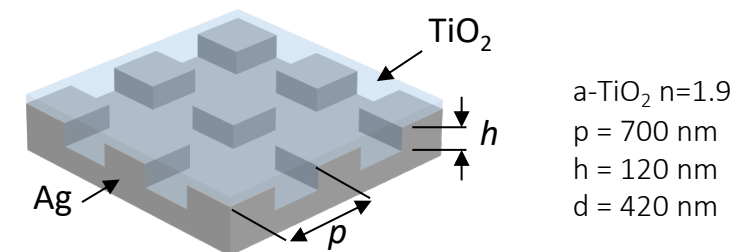
- Non-transferred solar cell (single-pass)
- Transferred on flat Ag mirror (double-pass)
- Transferred on nanostructured  $\text{TiO}_2/\text{Ag}$  mirror (multi-resonant absorption)



Design of the Solar cell with nanostructured  $\text{TiO}_2/\text{Ag}$  mirror



Detail of the  $\text{TiO}_2/\text{Ag}$  mirror



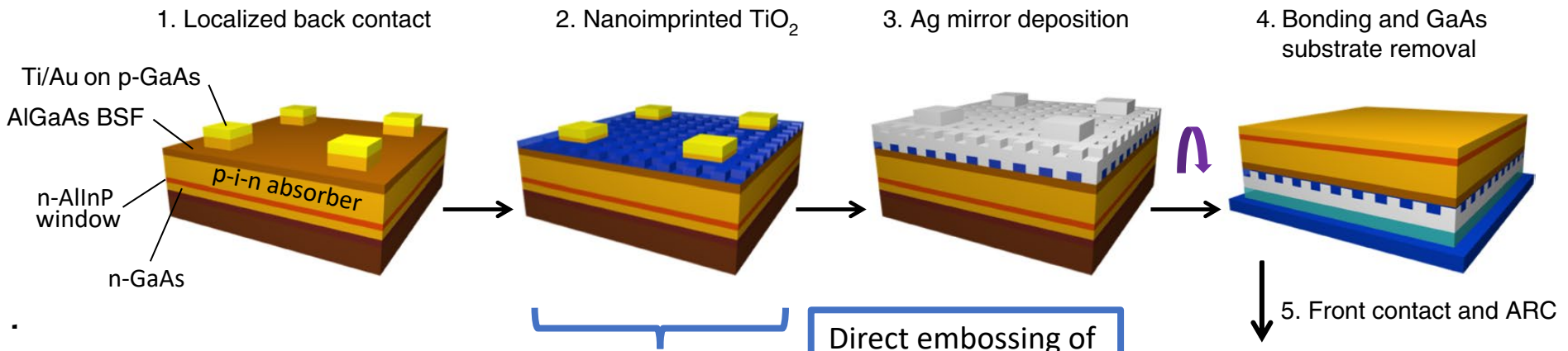


# Ultra-thin GaAs solar cell: fabrication process

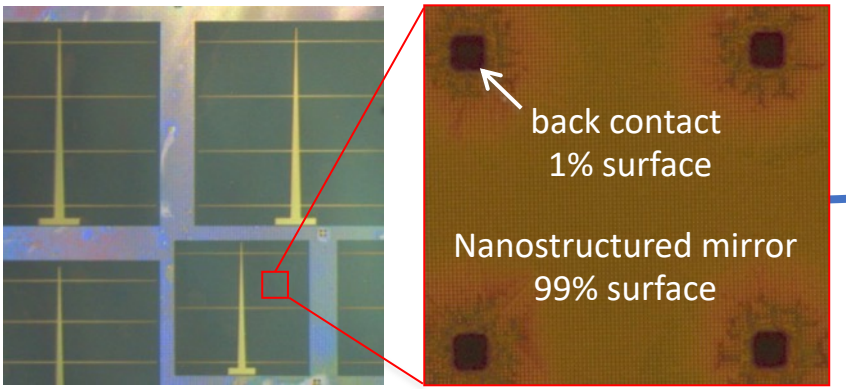
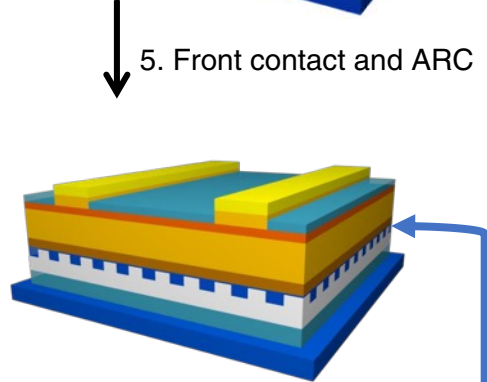
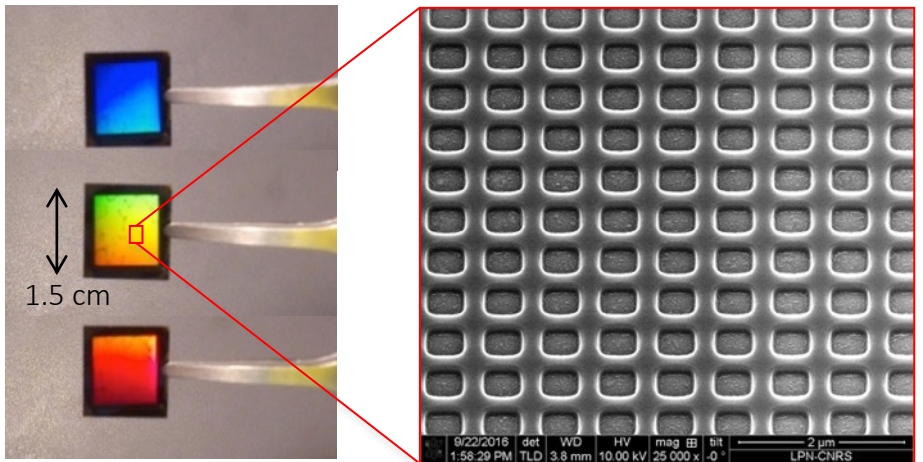
Solar cell epitaxially grown upside-down at



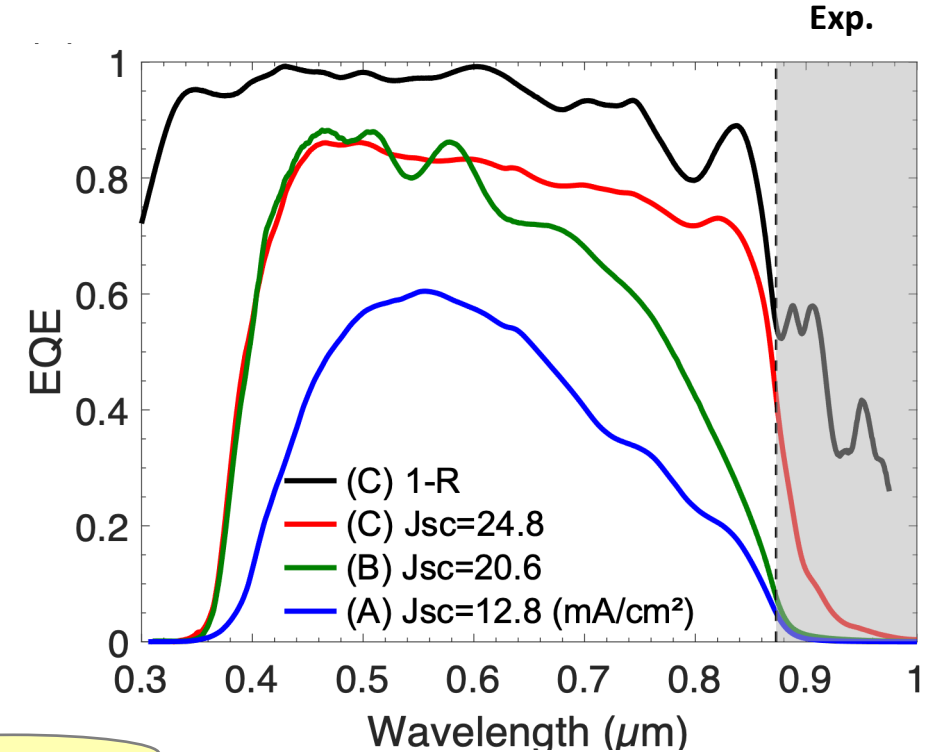
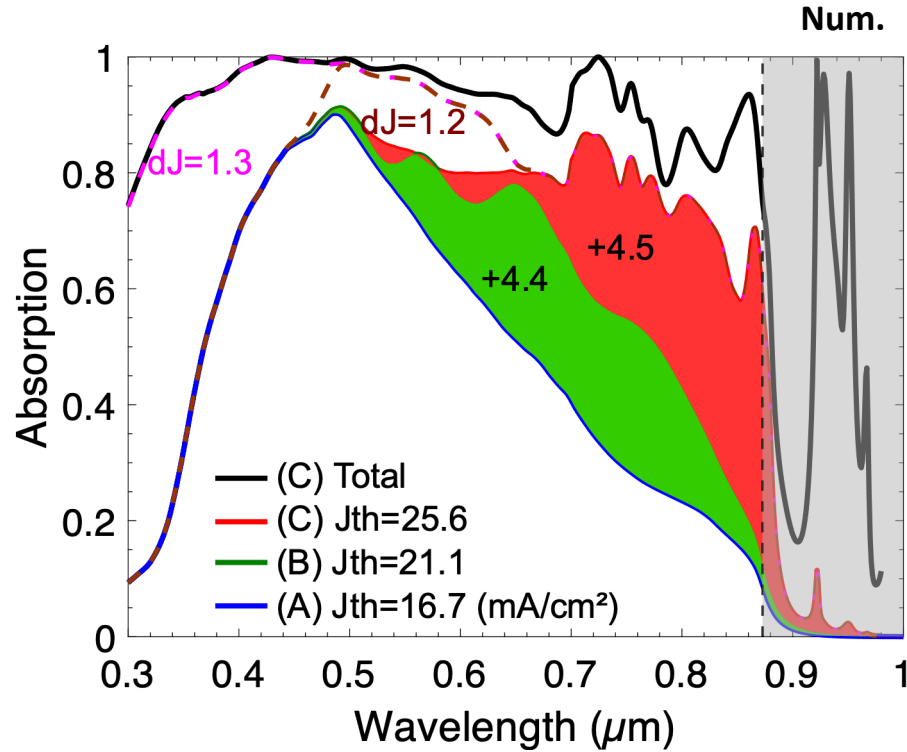
Material	Function
p-GaAs	Contact layer
p-Al <sub>0.4</sub> Ga <sub>0.6</sub> As	BSF
p-GaAs	Base
i-GaAs	
n-GaAs	Emitter
n-AlInP	Window
n-Ga <sub>0.87</sub> In <sub>0.13</sub> As	Cap (Si) layer
n-GaAs	Cap (Si) layer
n-AlGaAs	Etch stop
n-GaAs	Buffer



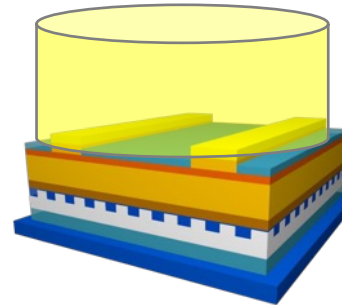
Direct embossing of sol-gel derived TiO<sub>2</sub> by “Degassing Assisted Patterning”



# EQE – Num. vs Exp.

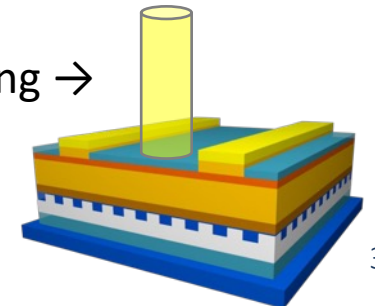


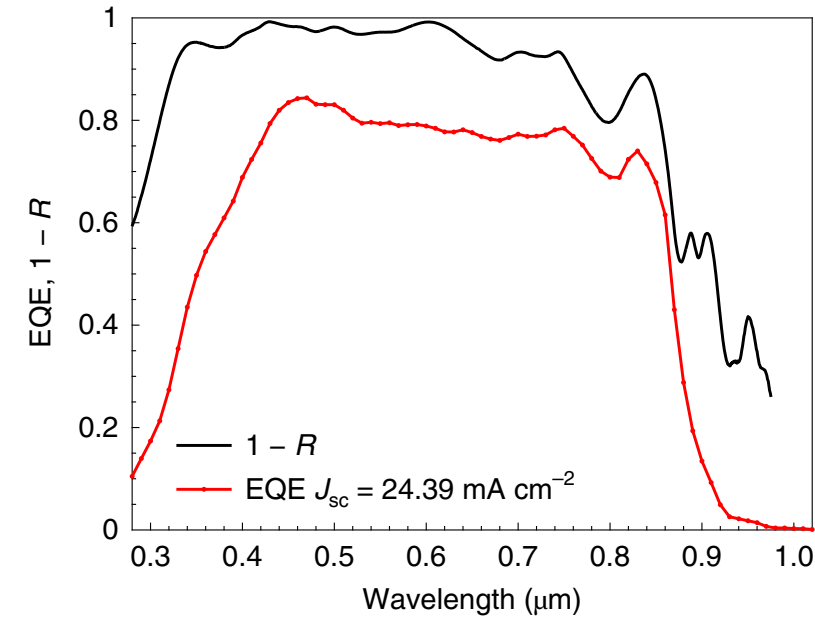
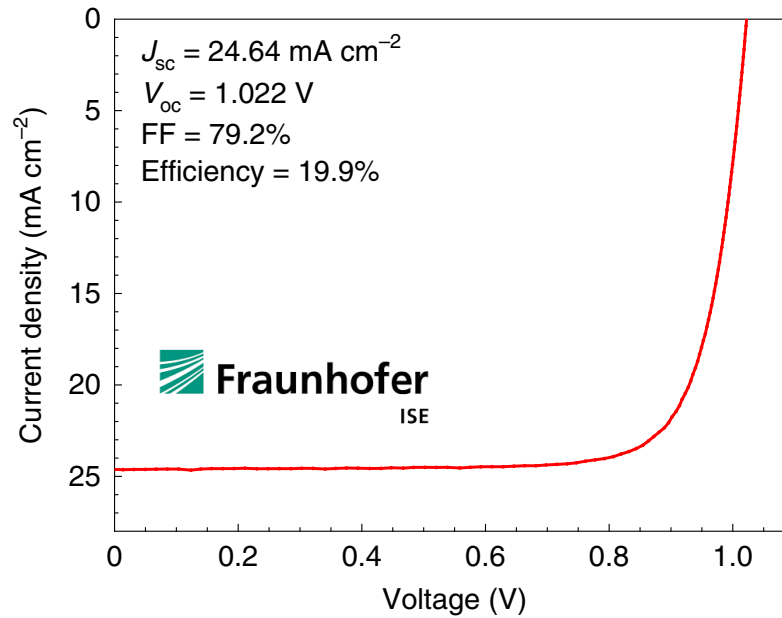
Predicted  $J_{sc}^{th} = 25.6 \text{ mA}/\text{cm}^2$



← Exp.  $J_{sc}^{exp} = 24.8 \text{ mA}/\text{cm}^2$

Exp. no "fingers" shadowing →  
 $J_{sc}^{epx} = 25.2 \text{ mA}/\text{cm}^2$





$$J_{SC}^{th} = 25.6 \text{ mA/cm}^2 \text{ (num.)}$$

$$J_{SC}^{exp.} = 24.64 \text{ mA/cm}^2 \text{ (Exp.)}$$

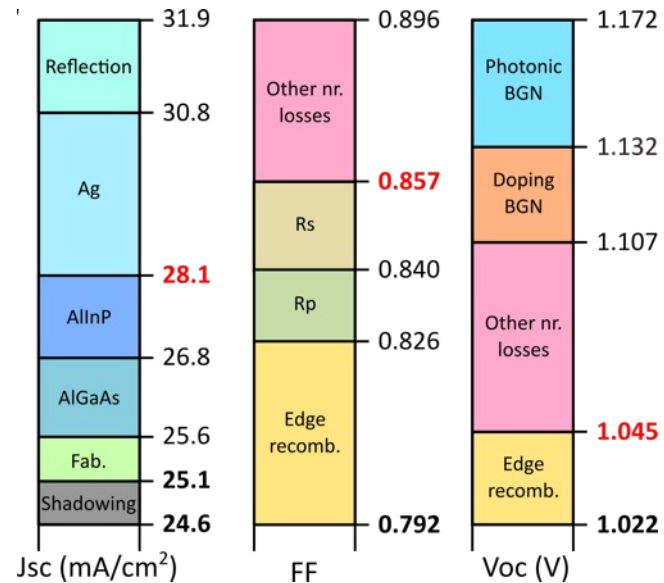
$$\Delta J_{SC} = -0.8 \text{ mA/cm}^2$$

+ 11.9  $\text{mA/cm}^2$  (48%) compared single-pass abs.

+ 3.6  $\text{mA/cm}^2$  (15%) compared flat Ag mirror



# Detailed loss analysis and path to 25%



- Lowering parasitic absorption in Ag mirror ( $\uparrow J_{sc}$ )
- Optimized front contact design ( $\uparrow J_{sc}$ , FF)
- Larger surface area:  $3 \times 3 \rightarrow 10 \times 10$  mm ( $\uparrow V_{oc}$ )

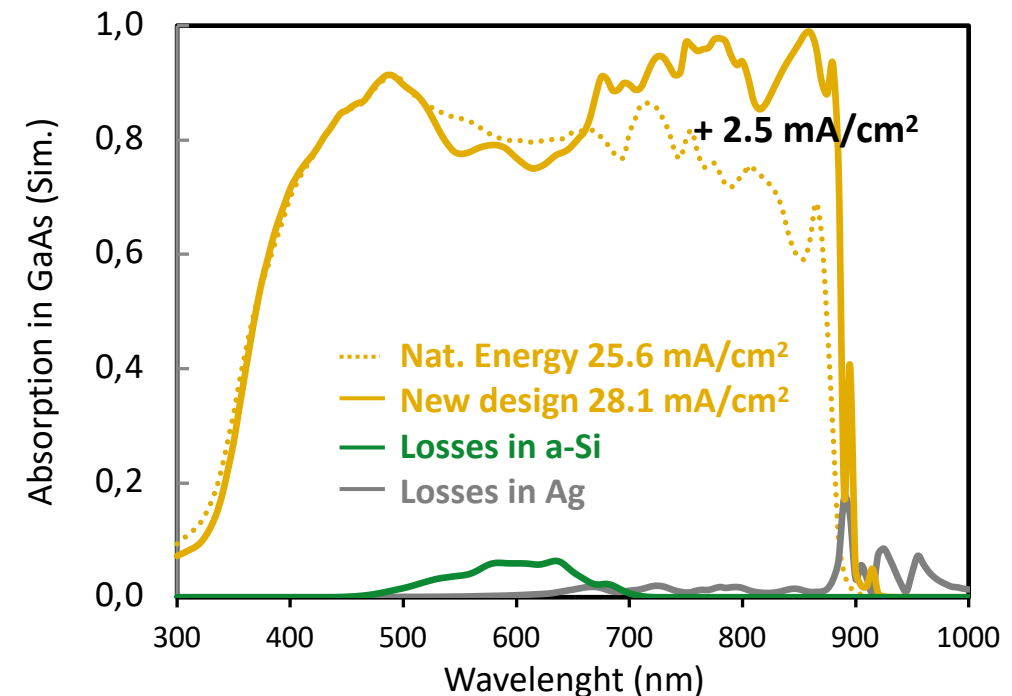
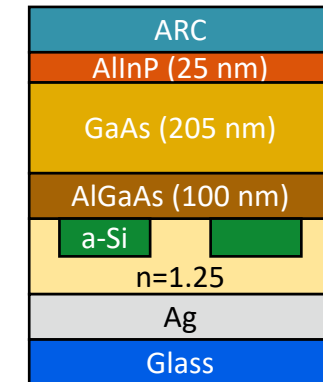
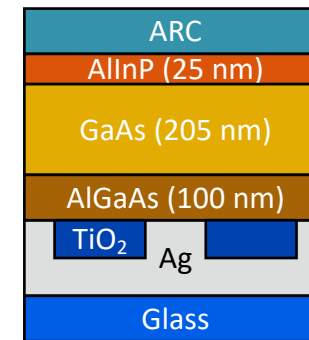
$$J_{sc} = 24.64 \rightarrow 28 \text{ mA/cm}^2$$

$$FF = 0.792 \rightarrow 0.857$$

$$V_{oc} = 1.022 \rightarrow 1.045 \text{ V}$$

$$\eta^{\text{th}} = 25.1\%$$

unpublished

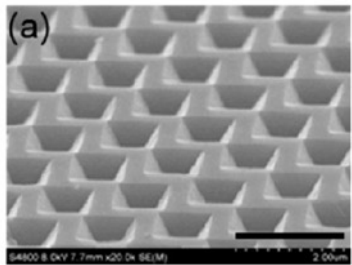
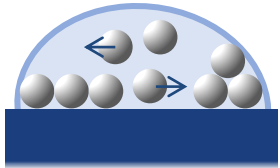


# Low-cost nano-structuration



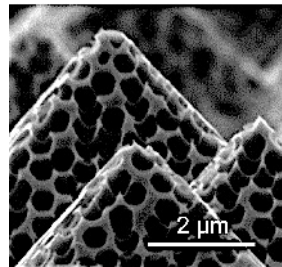
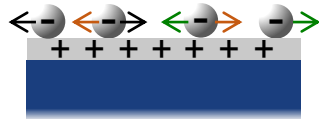
POLITECNICO  
MILANO 1863

## Nanospheres lithography



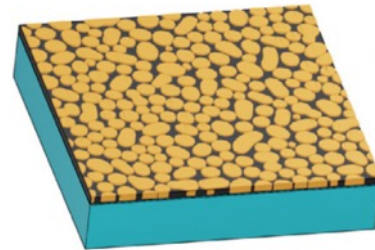
Gao et al., *Nano Letters* **15**, 4591 (2015)

## Sparse Nanospheres lithography

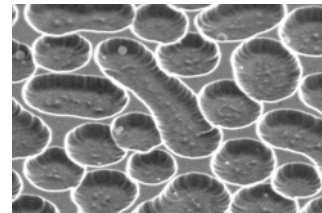


Massiot et al., *Nanoscale* **8**, 11461 (2016)  
Piechulla, *Adv. Optical Mater.* **6**, 1701272 (2018)

## Polymer blend lithography

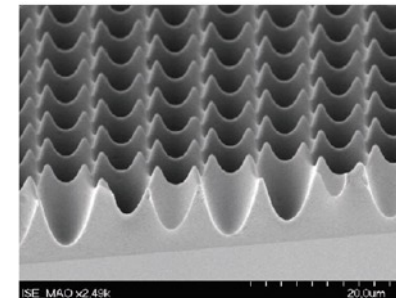
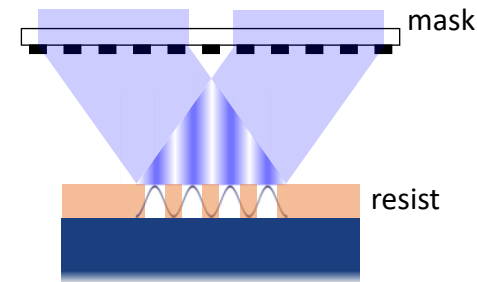


PMMA PS



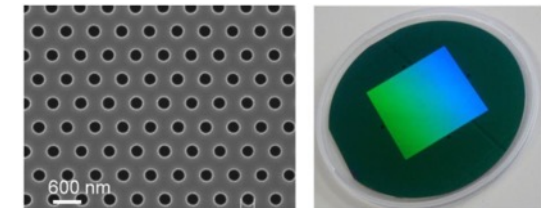
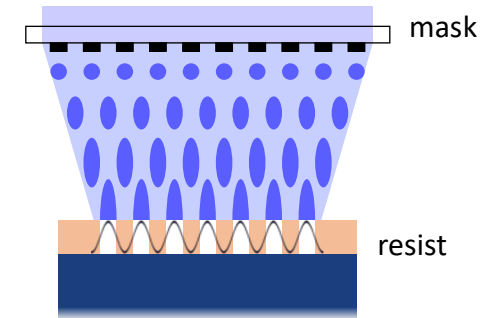
Buencuerpo et al., *Nano Energy* **96**, 107080 (2022)

## Interference Lithography



Wolf et al., *Microelectr. Engineer.* **98**, 293 (2012)  
Blasi et al., *Energy Procedia* **8**, 712 (2011)

## Talbot Lithography



Fan et al., *Microelectr. Engineer.* **155**, 55 (2016)  
Solak et al., *Opt. Express* **19**, 10686 (2011)

## Nanoimprint lithography

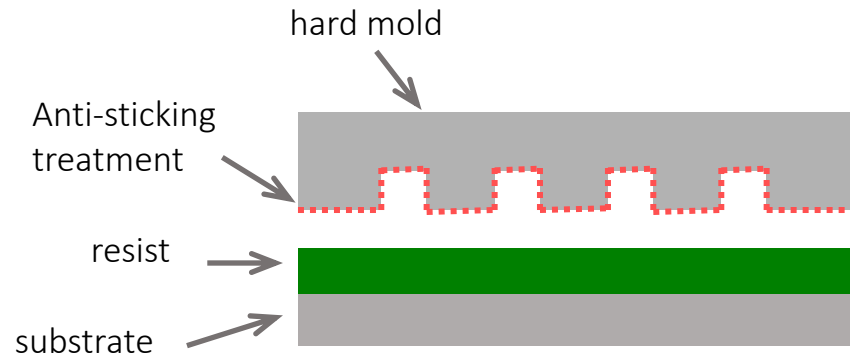


## Roll-to-plate Nanoimprint

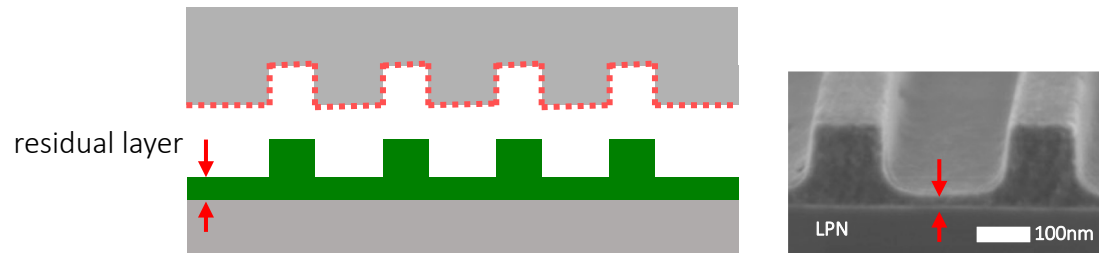


Nanopatterning by Replication

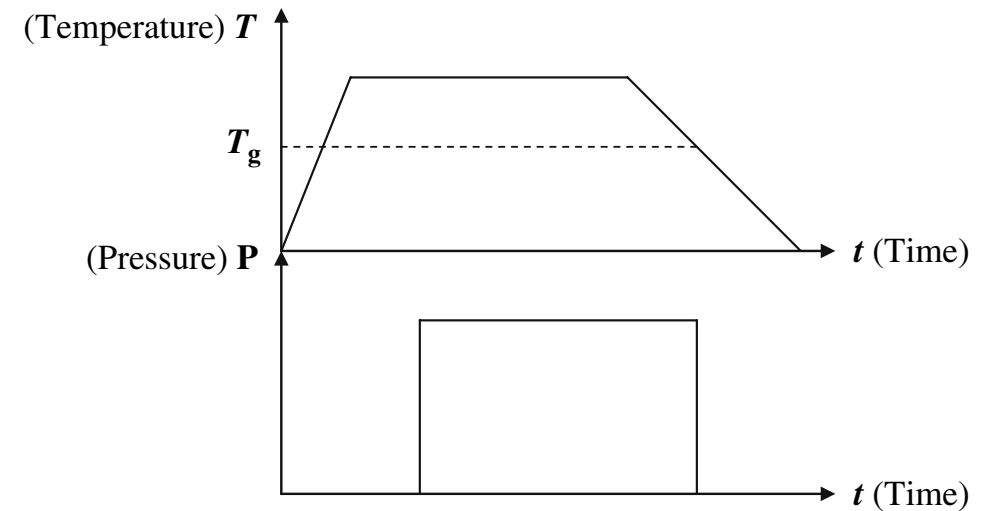
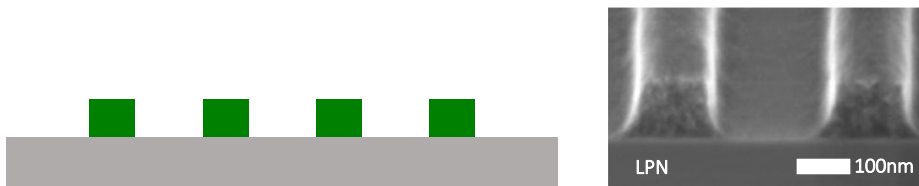
# Nanoimprint Lithography (NIL)



1) Imprinting at high pressure and temperature



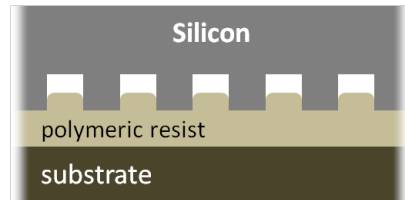
2) Pattern Transfer by Reactive Ion Etching



- **High Temperature:**  $T >$  glass transition temperature of the thermoplastic resist
- **High Pressure:** in order to have conformal adhesion and homogeneous residual layer)
- Parallel process (like optical lithography)
- No diffraction-limited
- No proximity effects

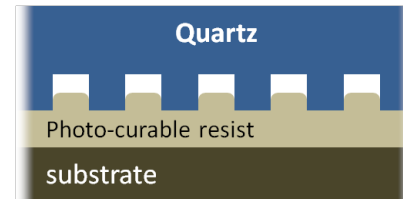
# Nanoimprint Lithography: variations on a Theme

## T NIL



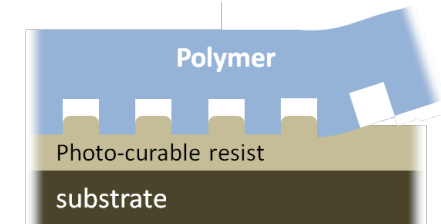
- High Pressure
- High Temperature

## UV NIL / Step&Flash

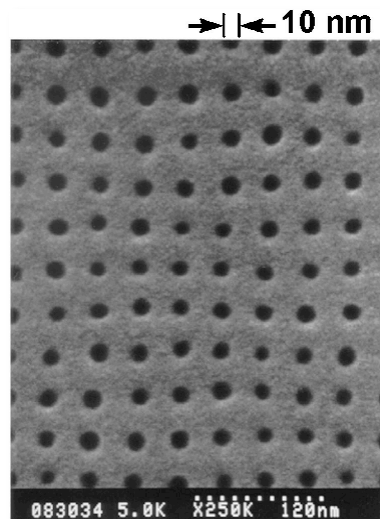


- Lower Pressure
- Room Temperature

## Soft UV NIL

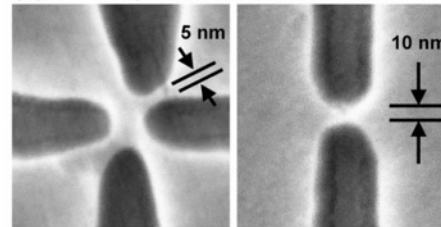


- Low Pressure ( $< 1$  atm)
- Room Temperature
- Cheap
- Flexible/curved substr.



J. Vac. Sci. Tech. B **15**, 2897 (1997)

(b) NIL Polymer Imprint

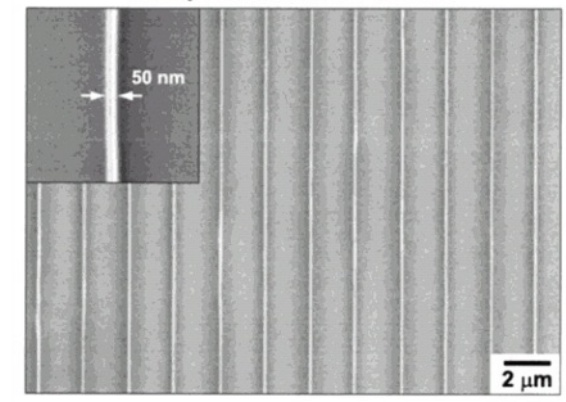


(c) Au 5 nm Contacts



APL **84**, 5299 (2004)

Composite: *h*-184 PDMS

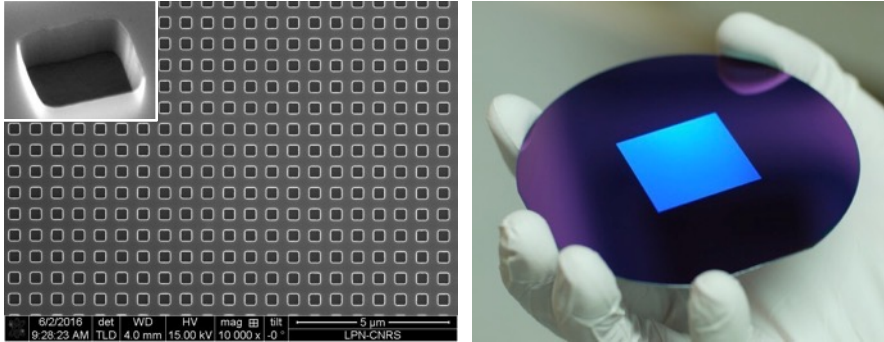


Langmuir **18**, 5314 (2000)

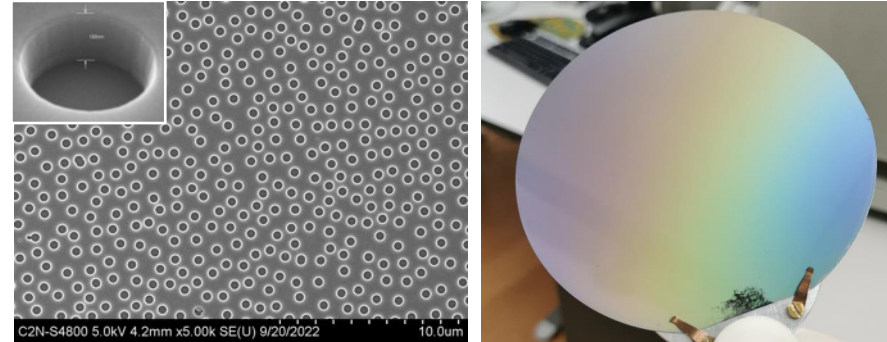
# Soft UV Nanoimprint Lithography (Soft-UV NiL)

## 1) Silicon master mold fabricated by a “low-cost” patterning method

E-beam lithography and RIE

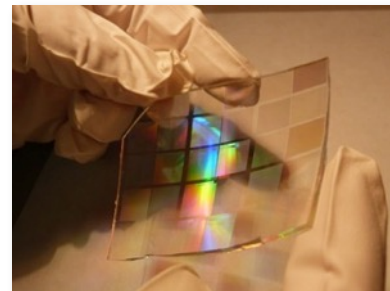
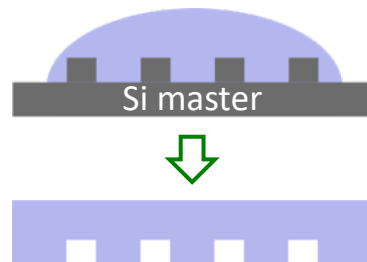


Sparse nano-spheres lithography and RIE



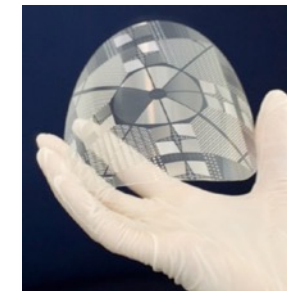
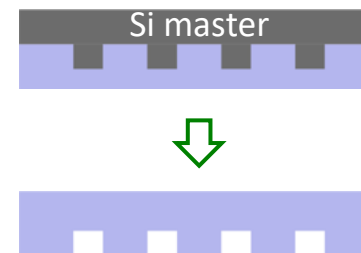
## 2) Replica in a cheap and flexible polymeric stamp

- 1) Pour of pre-polymer PDMS on Si master
- 2) Thermal curing & peel-off of the PDMS stamp



hard-PDMS/PDMS stamp (LPN)

- 1) T-NIL in flexible polymer foil
- 2) Peel-off of the polymer foil

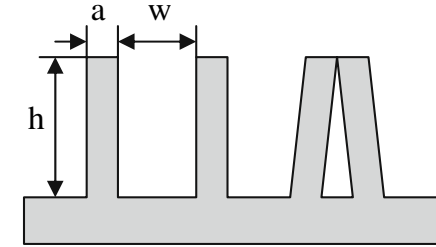


IPS Polimer (Obducat)



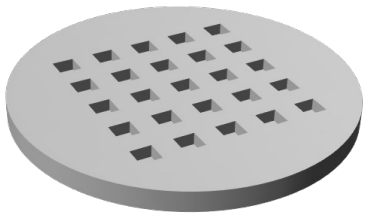
# Poly(dimethylsiloxane) (PDMS)

- Elastomer: conformal contact with a (non)planar surface on without applying any pressure
- Low surface energy ( $22 \text{ mJ m}^{-2}$ ): simple peel off (large surface area)
- Chemically inert
- Optically transparent (UV curing)
- Low Young's modulus ( $\approx 2 \text{ MPa}$ ) → Structure collapse if  $h/a$  is too large  
→ Fails to replicate sub-100 nm structures

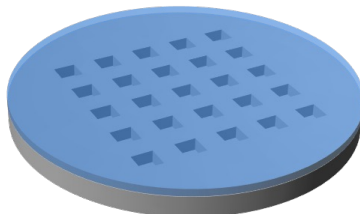


## → « Hard-PDMS »/PDMS bi-layer stamp

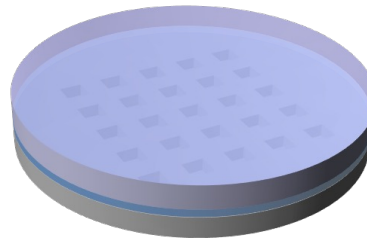
**1) Si master mold by EBL and dry-etching**



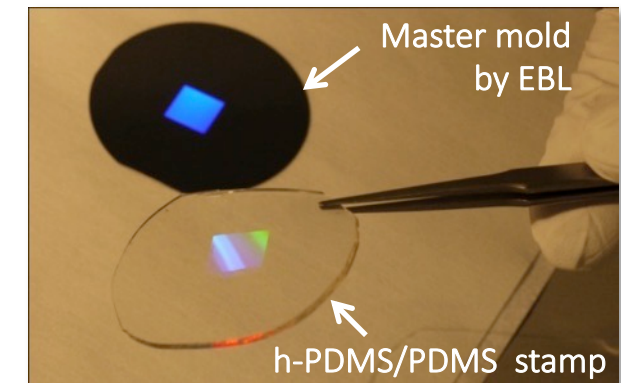
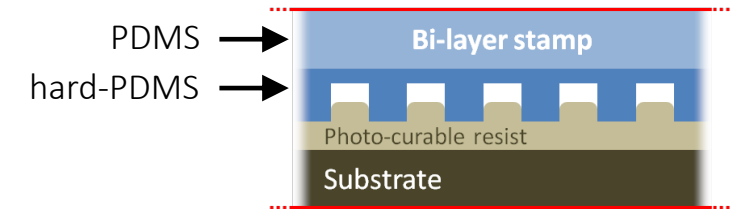
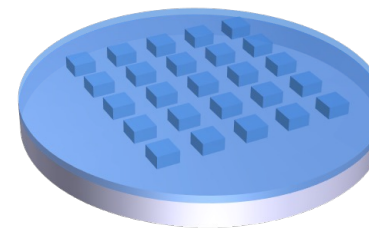
**2) Spin-coating of pre-polymer hard-PDMS**



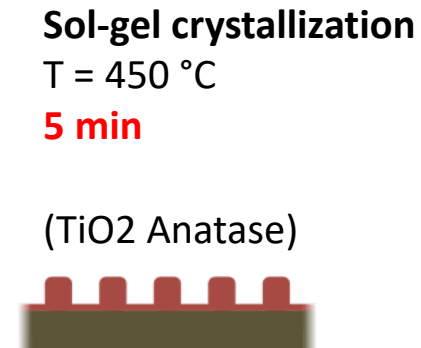
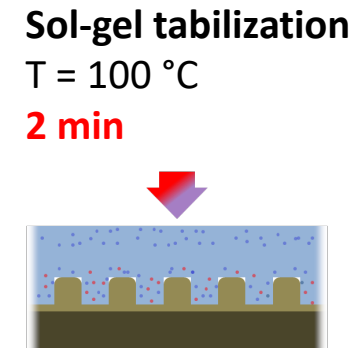
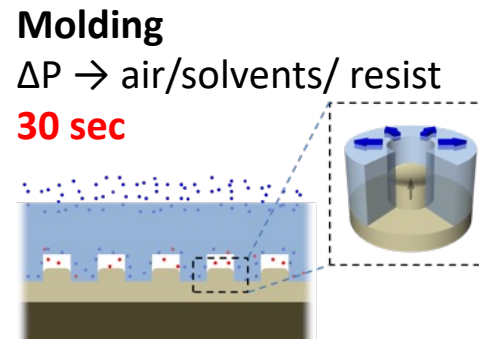
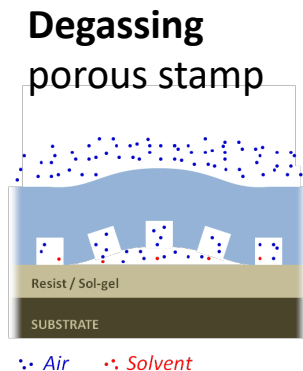
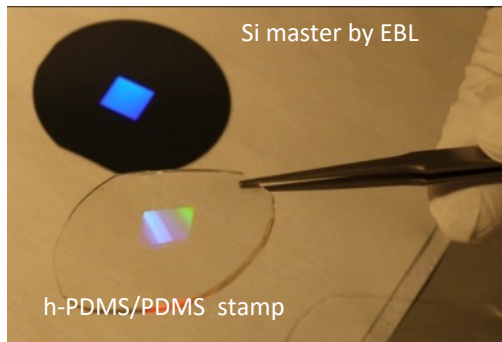
**3) Casting of PDMS, soft baking  $60^\circ \text{C}$**



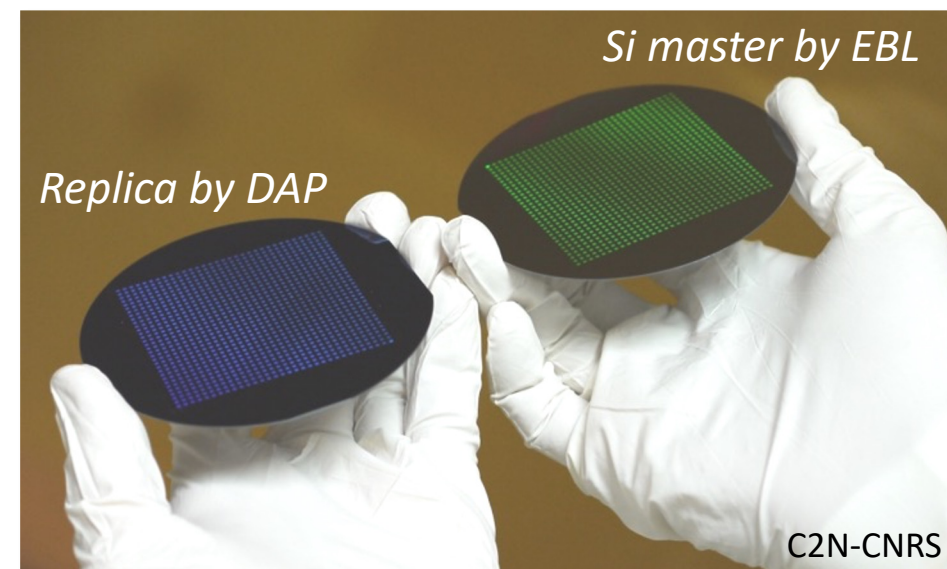
**4) Demolding, anti-sticking treatment**



# Degassing Assisted Patterning (DAP)

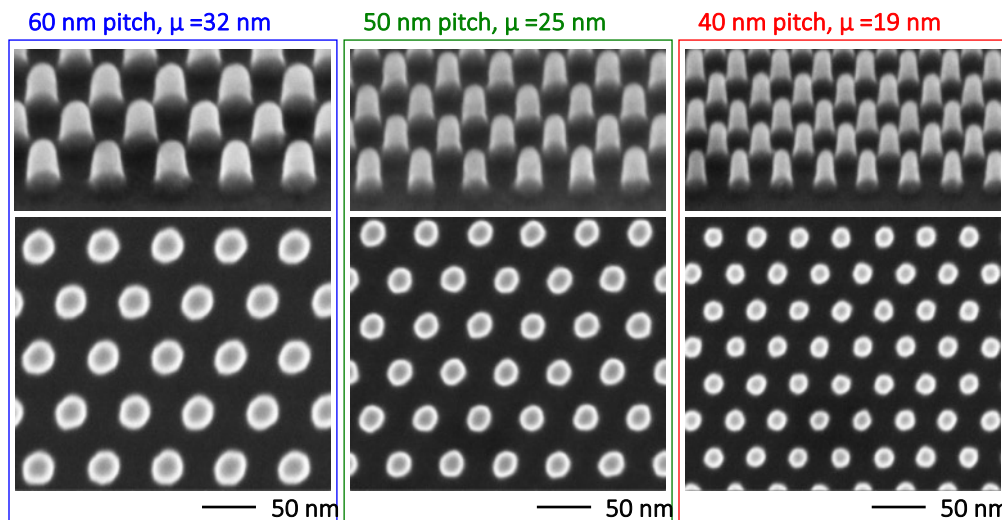


- No imprinter machine
- No pressure  $\rightarrow$  no deformations
- Bubble-defects-free
- UV-curable commercial resist & dense/porous sol-gel derived films (TiO<sub>2</sub>, SiO<sub>2</sub>, Al<sub>2</sub>O<sub>3</sub>, IrO<sub>2</sub>...)
- Large area imprint

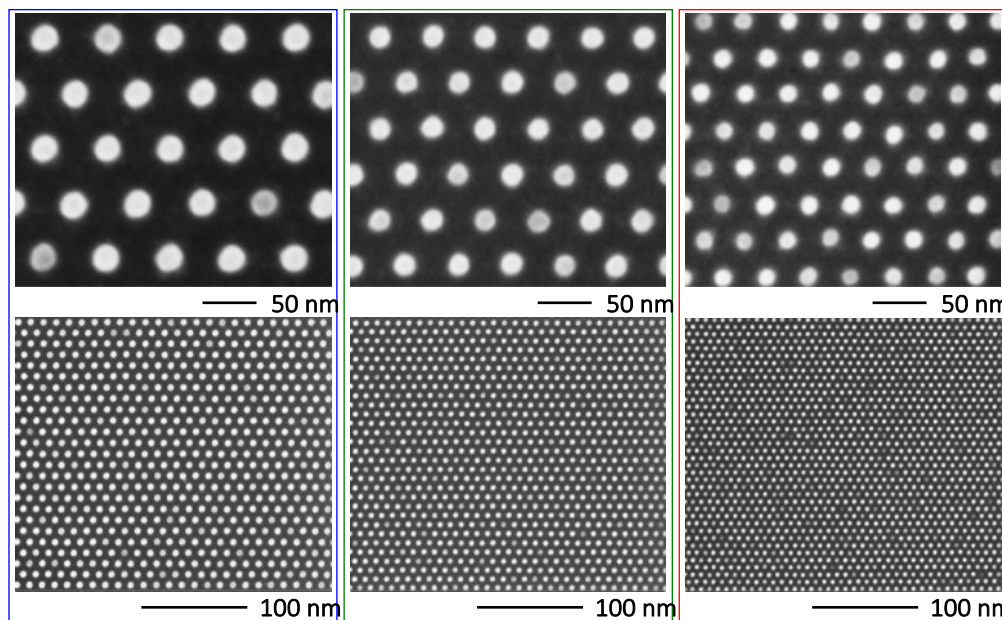


# Degassing Assisted Patterning (DAP)

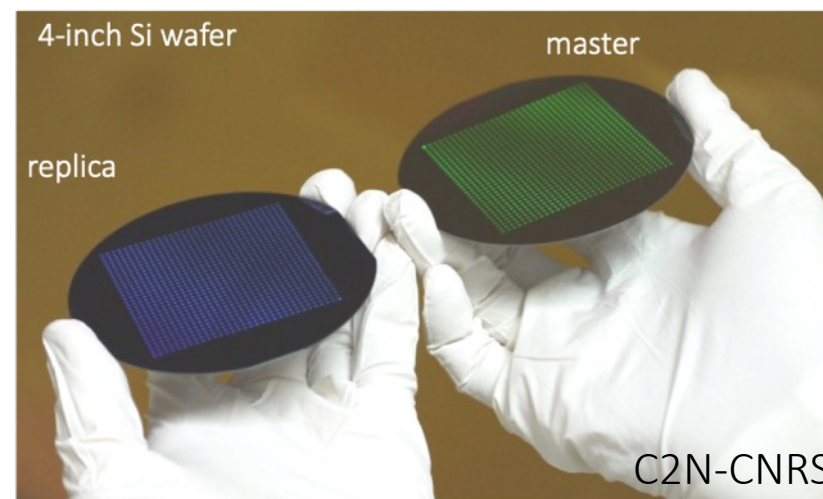
## Si master



## Replica by DAP



- Higher resolution as compare conventional Soft UV Nanoimprint (up to 20 nm)
- No imprinter machine (dessicator + pump + UV lamp = 1 K\$ vs. 200 K\$ and more for S&F)
- No pressure  $\rightarrow$  no deformations
- Bubble-defects-free
- Large area imprint





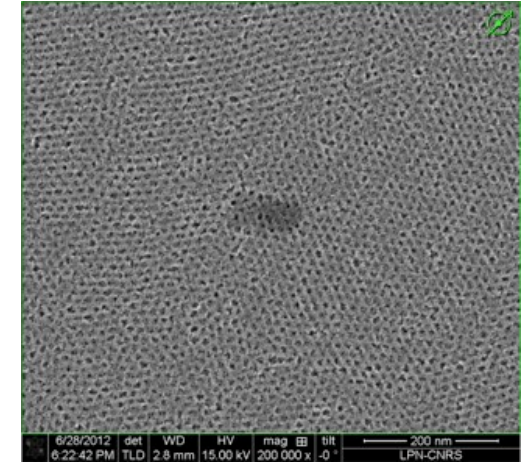
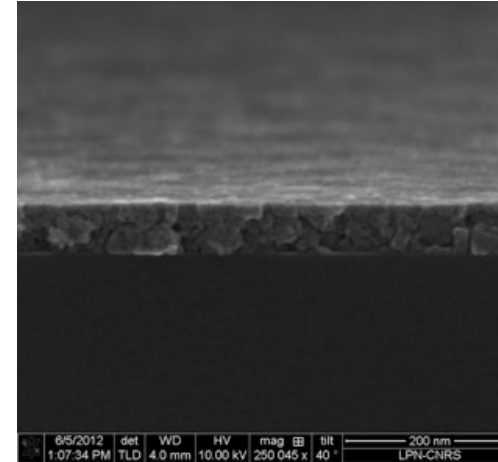
# DAP for direct embossing of sol-gel derived films ( $\text{TiO}_2$ )

$\text{TiO}_2$  Sol-gel by hydrolysis of Ti alkoxide precursors:

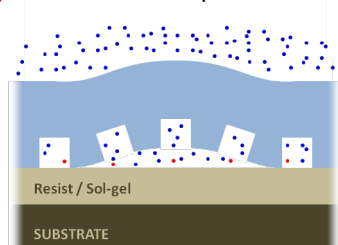
- Titanium alkoxide
- Ethanol
- Water
- (surfactants  $\rightarrow$  porosity)

Spin or dip-coating  $\rightarrow$  amorphous film

Thermal processing ( $450^\circ\text{C}$ )  $\rightarrow$  crystal phase ( $\text{TiO}_2$  Anatase)

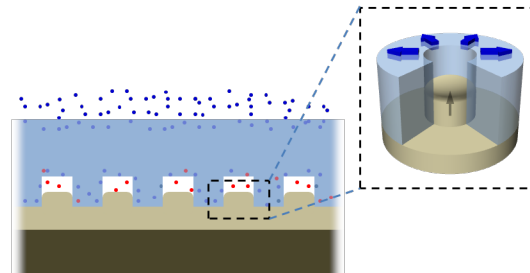


- 1) PDMS stamp degassing ( $\tau \approx 5$  min)
- 2) Sol-gel spin-coating
- 3) PDMS stamp molding

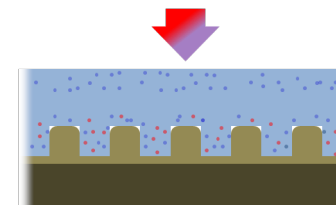


•• Air •• Solvent

- 4)  $\Delta P \rightarrow$  air/resist/solvents (1 min)



- 5) Sol-gel stabilization ( $T = 110^\circ\text{C}$ , 3 min)



- 6) Sol-gel crystallization ( $T = 450^\circ\text{C}$ )

(Anatase,  $n = 1.8 \rightarrow 2.4$ )

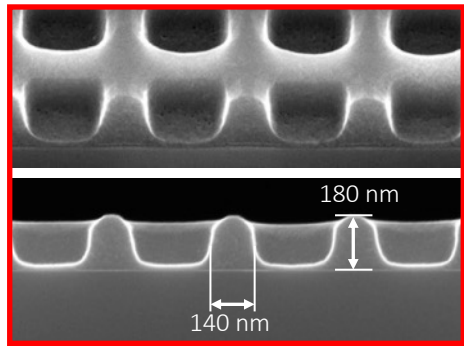


# DAP of sol-gel derived films

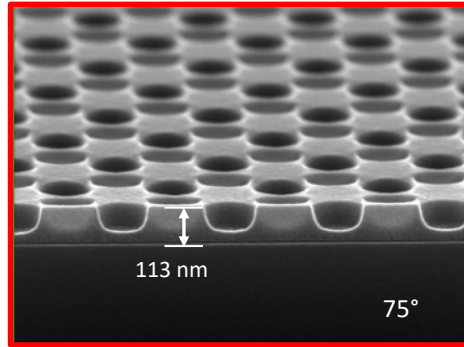


POLITECNICO  
MILANO 1863

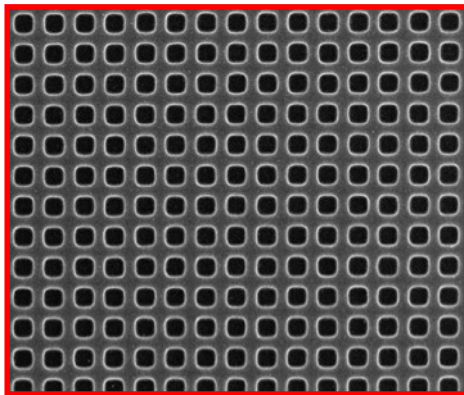
Dense  $\text{TiO}_2$  ( $n=2.35$ )



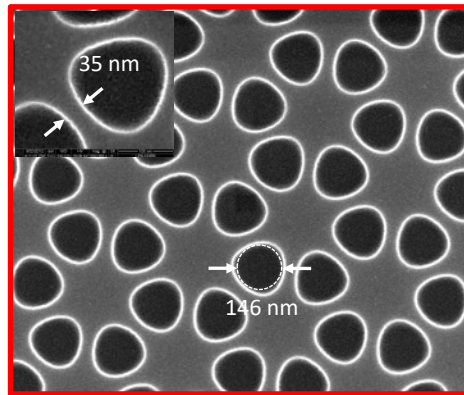
200 nm



200 nm

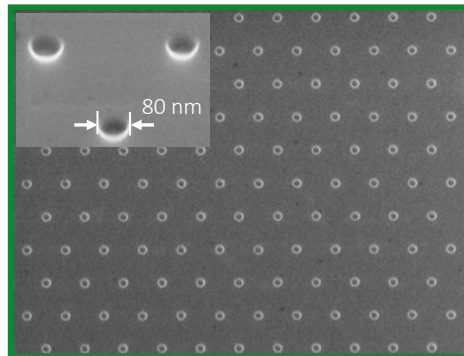


1 μm



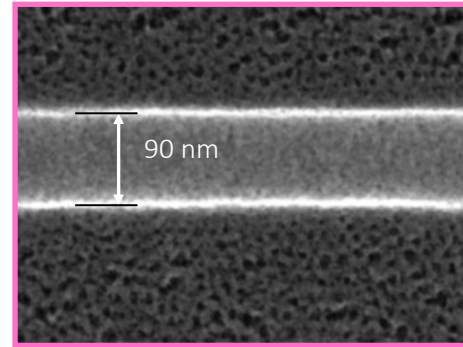
200 nm

Dense  $\text{SiO}_2$

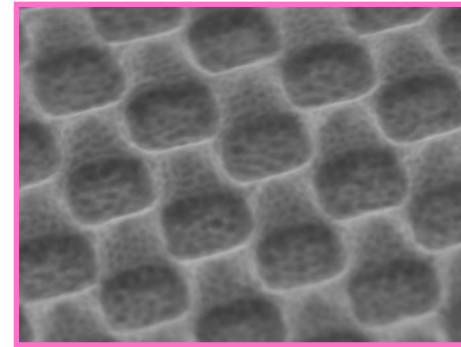


1 μm

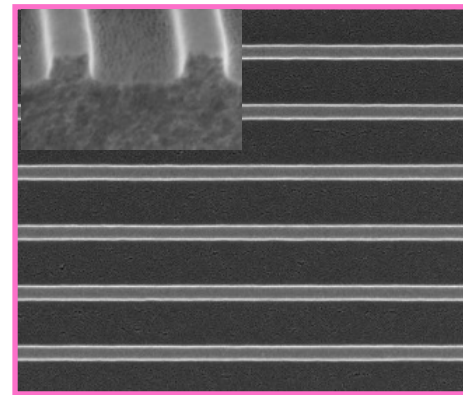
Mesoporous  $\text{TiO}_2$  ( $n=1.5-2.35$ )



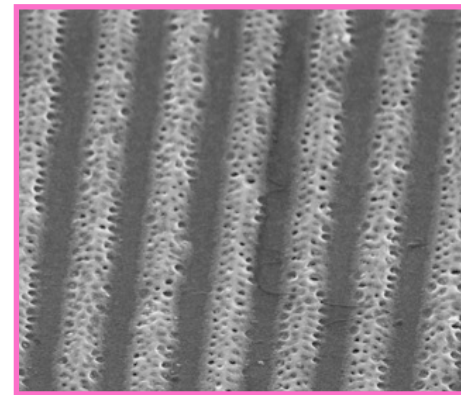
100 nm



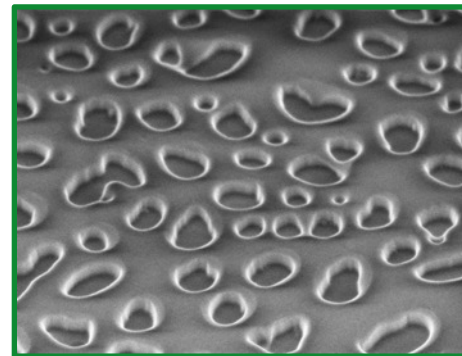
200 nm



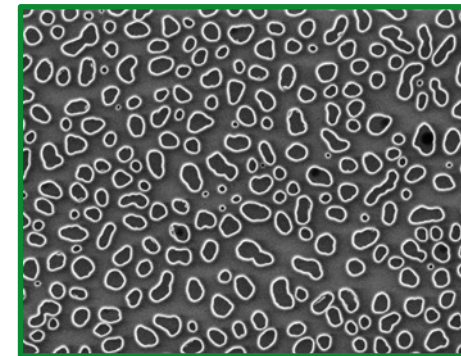
500 nm



500 nm

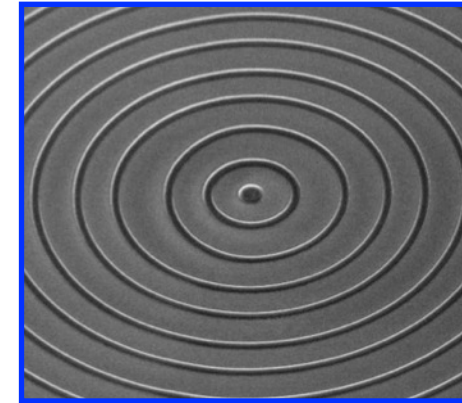


1 μm



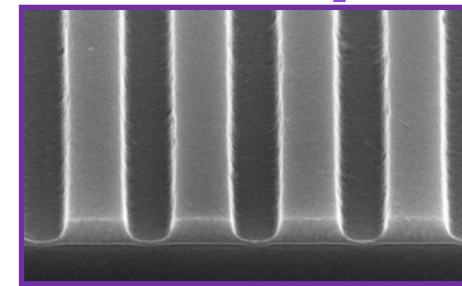
2 μm

Dense  $\text{Al}_2\text{O}_3$



1 μm

Dense  $\text{IrO}_2$



500 nm

- TODAY
  - > Solar cell efficiency close to Schottky-Queisser
  - > Solar cell thickness far from Lambertian model
- At least 1/10 thickness reduction possible for all technologies. Advantages:
  - > Reduce fabrication process and/or increase throughput
  - > Improve lifetime and power production by preventing heating
  - > Improve carrier collection in defective/degraded absorber materials → new/cheaper absorber materials
- Coherent or incoherent scattering? Coherent! (symmetry, hyperuniform, etc.)
- We need cheap nano-structuration technologies: NIL is a good option